

0003

**PLATEAU  
MINING  
CORPORATION**

Willow Creek Mine  
847 NW Hwy 191  
Helper, Utah 84526  
(435) 472-0475  
Fax: (435) 472-4780 4782

An affiliate of **RAG**

May 2, 2003

INCOMING  
C/007/004

Ms. Pamela Grubaugh-Littig  
Utah Division of Oil, Gas and Mining  
1594 West North Temple, Suite 1210  
P.O. Box 145801  
Salt Lake City, Utah 84114-5801

**RE: Ownership and Control Information, Castle Gate Holding Company, Castle Gate Mine, C/007/038, Carbon County**

Dear Ms. Grubaugh-Littig:

Enclosed are four copies of the current Ownership and Control Information and the appropriate C1 and C2 forms. The change to the information reflects the election of James J. Bryja as Senior Vice President as shown on the RAG American Coal Holding, Inc. sheet. There were not any changes for RAG Midwest Holding Company or RAG American Coal Company, however new information sheets are provided regardless.

If you have any questions or need additional information, please do not hesitate to contact me.

Sincerely,



Johnny Pappas  
Sr. Environmental Engineer

Enclosures

File: Castle Gate Mine - Officers and Directors  
Chrono: JP030504.ltr

RECEIVED

MAY 05 2003

# APPLICATION FOR COAL PERMIT PROCESSING

Permit Change  New Permit  Renewal  Exploration  Bond Release  Transfer

**Permittee:** Castle Gate Holding Company

**Mine:** Castle Gate Mine

**Permit Number:** C/007/004

**Title:** Ownership and Control Information

**Description,** Include reason for application and timing required to implement:

Change in Officer at RAG American Coal Holding, Inc.

**Instructions:** If you answer yes to any of the first eight (gray) questions, this application may require Public Notice publication.

- |   |   |
|---|---|
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 1. Change in the size of the Permit Area? Acres: _____ Disturbed Area: _____ <input type="checkbox"/> increase <input type="checkbox"/> decrease. |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 2. Is the application submitted as a result of a Division Order? DO# _____  |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 3. Does the application include operations outside a previously identified Cumulative Hydrologic Impact Area?                                     |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 4. Does the application include operations in hydrologic basins other than as currently approved?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 5. Does the application result from cancellation, reduction or increase of insurance or reclamation bond?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 6. Does the application require or include public notice publication?   |
| <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | 7. Does the application require or include ownership, control, right-of-entry, or compliance information?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 8. Is proposed activity within 100 feet of a public road or cemetery or 300 feet of an occupied dwelling?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 9. Is the application submitted as a result of a Violation? NOV # _____   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 10. Is the application submitted as a result of other laws or regulations or policies?<br><i>Explain:</i> _____                                   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 11. Does the application affect the surface landowner or change the post mining land use?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 12. Does the application require or include underground design or mine sequence and timing? (Modification of R2P2)                                |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 13. Does the application require or include collection and reporting of any baseline information?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 14. Could the application have any effect on wildlife or vegetation outside the current disturbed area?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 15. Does the application require or include soil removal, storage or placement?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 16. Does the application require or include vegetation monitoring, removal or revegetation activities?  |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 17. Does the application require or include construction, modification, or removal of surface facilities?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 18. Does the application require or include water monitoring, sediment or drainage control measures?  |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 19. Does the application require or include certified designs, maps or calculation?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 20. Does the application require or include subsidence control or monitoring?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 21. Have reclamation costs for bonding been provided?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 22. Does the application involve a perennial stream, a stream buffer zone or discharges to a stream?  |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 23. Does the application affect permits issued by other agencies or permits issued to other entities?   |

**Please attach four (4) review copies of the application. If the mine is on or adjacent to Forest Service land please submit five (5) copies, thank you.** (These numbers include a copy for the Price Field Office)

I hereby certify that I am a responsible official of the applicant and that the information contained in this application is true and correct to the best of my information and belief in all respects with the laws of Utah in reference to commitments, undertakings, and obligations, herein.

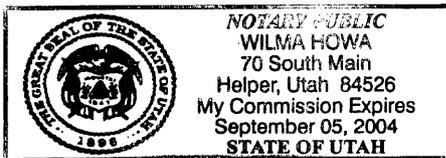
JOHNNY PAPPAS  
Print Name

[Signature] Sr. Env. Engineer - May 2, 03  
Sign Name, Position, Date

Subscribed and sworn to before me this 2nd day of May, 20 03

Wilma Howa  
Notary Public

My commission Expires: 9/5, 2004 }  
Attest: State of Utah } ss:  
County of Carbon



**For Office Use Only:**

Assigned Tracking Number:

Received by Oil, Gas & Mining

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MAY 05 2003



September 27, 2001

**CASTLE GATE HOLDING COMPANY**

**Principal Operating Offices:**

999 Corporate Blvd.  
Linthicum Heights, MD 21090

**Telephone:**

410/689-7500

**Incorporation:**

State Delaware, The Corporation Trust Company, 1209 Orange Street, Wilmington, DE 19801  
Date April 2, 1998  
Existence Perpetual

**Qualified to Do Business In:**

Utah

**Ownership - Percentage:**

RAG Midwest Holding Company - 100%  
999 Corporate Blvd., Linthicum Heights, MD 21090

**IRS Identification Number:**

84-1456620

**OFFICERS:**

<u>Title</u>	<u>Name</u>	<u>Social Security #</u>	<u>Date Assumed Office</u>
President	Thomas J. Lien	474-46-3032	September 14, 2001
Senior Vice President, General Counsel and Secretary	Greg A. Walker	262-82-8649	June 30, 1999
Vice President and Controller	Darrell H. Roland	233-86-6633	March 1, 2000
Vice President	Frank J. Wood	230-74-0342	April 2, 1998
Treasurer	Gary G. Pearson	512-36-0698	March 27, 2001
Assistant Secretary	C. Corwin Bromley	267-11-1226	January 1, 2000
Assistant Secretary	Sharon J. Fetherhuff	503-50-3540	January 1, 2000
Assistant Secretary	William M. Hartzler	313-50-6744	January 1, 2000

**DIRECTORS:**

James F. Roberts	187-40-9345	June 30, 1999
Frank J. Wood	230-74-0342	June 30, 1999

## CASTLE GATE HOLDING COMPANY

Resignations since Incorporation:

Assistant Secretary	Morris W. Kegley	306-52-2454	July 15, 1998
President	Randall J. Scott	522-82-2279	October 8, 1998
Director	Garold R. Spindler	233-74-2870	June 30, 1999
Director	Gerald J. Malys	165-36-0198	June 30, 1999
Director	Philip C. Wolf	317-50-5623	June 30, 1999
Senior Vice President	Gerald J. Malys	165-36-0198	June 30, 1999
Senior Vice President, General Counsel and Secretary	Philip C. Wolf	317-50-5623	June 30, 1999
Vice President	Patrick J. Panzarino	134-38-7821	June 30, 1999
Vice President and Treasurer	Farokh S. Hakimi	093-42-6402	June 30, 1999
Assistant Treasurer	J. M. Coyner	227-60-6113	June 30, 1999
Director of Tax	J. David Flemming	301-48-5865	June 30, 1999
Assistant Secretary	Sharon J. Fetherhuff	503-50-3540	June 30, 1999
Assistant Secretary	Greg A. Walker	262-82-8649	June 30, 1999
Assistant Secretary	Dale E. Huffman	557-86-5790	June 30, 1999
Senior Vice President, Sales and Marketing	Nicholas P. Moros	042-40-2347	August 11, 1999
Assistant Secretary	Susan E. Chetlin	210-46-8811	December 31, 1999
Controller	Frank J. Wood	230-74-0342	March 1, 2000
Vice President and Treasurer	J. Alan Link	232-92-6300	April 1, 2000
Treasurer	Frank J. Wood	230-74-0342	March 27, 2001
Assistant Treasurer	Gary G. Pearson	512-36-0698	March 27, 2001
President and Director	John M. DeMichiei	208-40-1828	September 13, 2001

January 10, 2003

**RAG MIDWEST HOLDING COMPANY**  
(Formerly Cyprus Amax Midwest Holding Company, name change 6/30/99)

**Principal Operating Offices:** 999 Corporate Blvd.  
Linthicum Heights, MD 21090

**Telephone:** 410/689-7500

**Incorporation:**  
State Delaware, The Corporation Trust Company, 1209 Orange Street, Wilmington, DE 19801  
Date April 2, 1998  
Existence Perpetual

**Qualified to Do Business In:**

**Ownership – Percentage:** RAG American Coal Company (successor by merger to Cyprus Amax Coal Company 6/30/99) – 100%  
999 Corporate Blvd., Linthicum Heights, MD 21090

**IRS Identification Number:** 84-1456626

**OFFICERS:**

<u>Title</u>	<u>Name</u>	<u>Social Security #</u>	<u>Date Assumed Office</u>
President	James A. Olsen	030-36-0783	December 13, 2002
Senior Vice President, General Counsel and Secretary	Greg A. Walker	262-82-8649	June 30, 1999
Vice President and Controller	Darrell H. Roland	233-86-6633	March 1, 2000
Vice President	Frank J. Wood	230-74-0342	April 2, 1998
Treasurer	Gary G. Pearson	512-36-0698	March 27, 2001
Assistant Secretary	C. Corwin Bromley	267-11-1226	January 1, 2000
Assistant Secretary	Sharon J. Fetherhuff	503-50-3540	January 1, 2000
Assistant Secretary	William M. Hartzler	313-50-6744	January 1, 2000

**DIRECTORS**

James F. Roberts	187-40-9345	June 30, 1999
Frank J. Wood	230-74-0342	June 30, 1999

January 10, 2003

**RAG MIDWEST HOLDING COMPANY**

Resignations since Incorporation:

<u>Title</u>	<u>Name</u>	<u>Social Security #</u>	<u>Date Resigned</u>
Assistant Secretary	Morris W. Kegley	306-52-2454	July 15, 1998
President	Randall J. Scott	522-82-2279	October 8, 1998
Director	Garold R. Spindler	233-74-2870	June, 30, 1999
Director	Gerald J. Malys	165-36-0198	June, 30, 1999
Director	Philip C. Wolf	317-50-5623	June, 30, 1999
Senior Vice President	Gerald J. Malys	165-36-0198	June, 30, 1999
Senior Vice President, General Counsel and Secretary	Philip C. Wolf	317-50-5623	June 30, 1999
Vice President	Patrick J. Panzarino	134-38-7821	June 30, 1999
Vice President and Treasurer	Farokh S. Hakimi	093-42-6402	June 30, 1999
Assistant Treasurer	J. M. Coyner	227-60-6113	June 30, 1999
Director of Tax	J. David Flemming	301-48-5865	June 30, 1999
Assistant Secretary	Sharon J. Fetherhuff	503-50-3540	June 30, 1999
Assistant Secretary	Greg A. Walker	262-82-8649	June 30, 1999
Assistant Secretary	Dale E. Huffman	557-86-5790	June, 30, 1999
Senior Vice President, Sales and Marketing	Nicholas P. Moros	042-40-2347	August 11, 1999
Assistant Secretary	Susan E. Chetlin	210-46-8811	December 31, 1999
Controller	Frank J. Wood	230-74-0342	March 1, 2000
Vice President and Treasurer	J. Alan Link	232-92-6300	April 1, 2000
Treasurer	Frank J. Wood	230-74-0342	March 27, 2001
Assistant Treasurer	Gary G. Pearson	512-36-0698	March 27, 2001
President and Director	John M. DeMichiei	208-40-1828	September 13, 2001
President	Randy L. McMillion	235-90-8345	May 1, 2002

September 27, 2001

**RAG AMERICAN COAL COMPANY**

**Principal Operating Office:**

999 Corporate Blvd.  
Linthicum Heights, MD 21090

**Telephone:**

410/689-7500

**Incorporation:**

State

The Corporation Trust Company, 1209 Orange Street, Wilmington, DE 19801

Date

June 18, 1999

Existence

Perpetual

**Qualified to Do Business In:**

Maryland

**Ownership - Percentage:**

RAG American Coal Holding, Inc. (6/30/99) – 100%  
999 Corporate Blvd., Linthicum Heights, MD 21090

**IRS Identification Number:**

54-1947356

**OFFICERS:**

<u>Title</u>	<u>Name</u>	<u>Social Security #</u>	<u>Date Assumed Office</u>
President and Chief Executive Officer	James F. Roberts	187-40-9345	June 24, 1999
Senior Vice President, General Counsel and Secretary	Greg A. Walker	262-82-8649	June 30, 1999
Vice President	James A. Olsen	030-36-0783	September 18, 2000
Vice President and Controller	Darrell H. Roland	233-86-6633	March 1, 2000
Vice President	Frank J. Wood	230-74-0342	June 30, 1999
Vice President, Safety and Human Resources	Michael R. Peelish	235-72-2473	March 27, 2001
Treasurer	Gary G. Pearson	512-36-0698	March 27, 2001

**DIRECTORS:**

Karl F. Jakob	German	September 30, 2000
James F. Roberts	187-40-9345	June 18, 1999
Jurgen W. Stadelhofer	German	July 20, 1999

## RAG AMERICAN COAL COMPANY

Resignations (since incorporation):

<u>Title</u>	<u>Name</u>	<u>Social Security No.</u>	<u>Date Resigned</u>
Assistant Secretary	J. Alan Link	232-92-6300	June 30, 1999
Secretary, Treasurer	Roy West	235-88-5799	June 30, 1999
Senior Vice President, Sales and Marketing	Nicholas P. Moros	042-40-2347	August 11, 1999
Assistant Secretary	Susan E. Chetlin	210-46-8811	December 31, 1999
Controller	Frank J. Wood	230-74-0342	March 1, 2000
Senior Vice President, Development	Richard D. Mills	306-44-2951	March 31, 2000
Director, Vice President and Treasurer	J. Alan Link	232-92-6300	April 1, 2000
Senior Vice President, Western Operations	George E. Vajda	303-46-6591	May 16, 2000
Vice President, Process Management	Randall J. Scott	522-82-2279	June 30, 2000
Director	Rainer Benning	German	September 30, 2000
Treasurer	Frank J. Wood	230-74-0342	March 27, 2001
Vice President, Planning	Klaus-Dieter Beck	German	March 27, 2001
Vice President, Human Resources	Michael R. Peelish	235-72-2473	March 27, 2001
Assistant Treasurer	Gary G. Pearson	512-36-0698	March 27, 2001
Executive Vice President, Chief Operating Officer	John M. DeMichiei	208-40-1828	September 13, 2001

March 11, 2003

**RAG AMERICAN COAL HOLDING, INC.**

(Formerly Ruhrkohle-Stinnes Corporation; name change to Ruhr-American Coal Corporation 10/1/75;  
name change to RAG American Coal Corporation 12/22/98; name change to  
RAG American Coal Holding, Inc. 6/18/99)

**Principal Operating Office:** 999 Corporate Blvd.  
Linthicum Heights, MD 21090

**Telephone:** 410/689-7500

**Incorporation:**  
**State** Delaware  
The Corporation Trust Company, 1209 Orange Street, Wilmington, DE 19801

**Date** October 31, 1974  
**Existence** Perpetual

**Qualified to Do Business In:** West Virginia, Colorado and Maryland

**Ownership – Percentage:** RAG Coal International Aktiengesellschaft (5/30/2000) – 100%  
Rellinghauser Strasse 1-11, 45128 Essen, Germany

**IRS Identification Number:** 13-2793319

**Officers:**

<b><u>Title</u></b>	<b><u>Name</u></b>	<b><u>Social Security #</u></b>	<b><u>Date Assumed Office</u></b>
President and Chief Executive Officer	James F. Roberts	187-40-9345	March 1, 1999
Senior Vice President, Planning and Engineering	Klaus-Dieter Beck	German	September 14, 2001
Senior Vice President, Eastern Operations	James J. Bryja	202-46-8954	February 24, 2003
Senior Vice President, Western Operations	Thomas J. Lien	474-46-3032	September 14, 2001
Senior Vice President, Development and Information Technology	James A. Olsen	030-36-0783	September 14, 2001
Senior Vice President, Safety and Human Resources	M. R. Peelish	235-72-2473	September 14, 2001
Senior Vice President, Sales and Marketing	John R. Tellmann	315-54-6211	February 21, 2002
Senior Vice President, General Counsel and Secretary	Greg A. Walker	262-82-8649	August 24, 1999
Senior Vice President, Finance and Controller	Frank J. Wood	230-74-0342	September 14, 2001/March 1, 2000
Treasurer	Gary G. Pearson	512-36-0698	March 27, 2001

**Directors:**

Chairman	Jürgen W. Stadelhofer	German	September 9, 1997
	Christoph Danzer-Vanotti	German	September 18, 2000
	Karl F. Jakob	German	July 1, 1999
	Heribert Protzek	German	September 18, 2000
	Joel Richards III	534-44-7083	June 7, 2001
	James F. Roberts	187-40-9345	March 1, 1999
	Manfred Warda	German	September 18, 2000
	Anthony Williams	Withheld	March 22, 2000
	Fritz Ziegler	German	September 18, 2000

March 11, 2003

**RAG AMERICAN COAL HOLDING, INC.**

Resignations since June 30, 1999:

<u>Title</u>	<u>Name</u>	<u>Social Security No.</u>	<u>Date Resigned</u>
Secretary and Treasurer	Roy West	235-88-5799	August 24, 1999
Vice President, Finance and Treasurer	J. Alan Link	232-92-6300	April 1, 2000
Director	Rainer Benning	German	September 30, 2000
Vice President, Development	James A. Olsen	030-36-0783	March 27, 2001
Vice President, Human Resources	Michael R. Peelish	235-72-2473	March 27, 2001
Treasurer	Frank J. Wood	230-74-0342	March 27, 2001
Assistant Treasurer	Gary G. Pearson	512-36-0698	March 27, 2001
Executive Vice President	John M. DeMichiei	208-40-1828	September 13, 2001
Vice President, West Virginia Operations	Randy Hansford	232-92-7183	September 14, 2001
Vice President, Planning and Engineering	Klaus-Dieter Beck	German	September 14, 2001
Vice President, Development and Information Technology	James A. Olsen	030-36-0783	September 14, 2001
Vice President, Safety and Human Resources	Michael R. Peelish	235-72-2473	September 14, 2001
Vice President	Frank J. Wood	230-74-0342	September 14, 2001
Senior Vice President	John R. Tellmann	315-54-6211	February 21, 2002
Senior Vice President, Eastern Operations	Randy L. McMillion	235-90-8345	May 1, 2002