



JON M. HUNTSMAN, JR.  
*Governor*

GARY R. HERBERT  
*Lieutenant Governor*

# State of Utah

## DEPARTMENT OF NATURAL RESOURCES

MICHAEL R. STYLER  
*Executive Director*

### Division of Oil, Gas and Mining

JOHN R. BAZA  
*Division Director*

Internal  
C0070004  
# 3367  
&

December 14, 2009

TO: Internal File

FROM: Daron R. Haddock, Permit Supervisor 

RE: 510 (c) Recommendation for Castle Gate Holding Company, Castle Gate Mine, C/007/0004

As of this writing of this memo, there are no NOV's or CO's which are not corrected or in the process of being corrected for the Castle Gate Mine. There are no finalized civil penalties, which are outstanding and overdue in the name of Castle Gate Mining Company. Castle Gate Mining Company does not demonstrate a pattern of willful violations, nor have they been subject to any bond forfeitures for any operation in the state of Utah.

Attached is an OSM recommendation from the Applicant Violator System with a narrative explaining that the three violations showing on the system are currently under settlement.

Attachment  
O:\007004.CGF\FINAL\PERMIT\2009\PERMIT\AVSMEMO.DOC



**Permit Evaluation**

Permit Number ACT007004  
 Permittee Name 146020 Castle Gate Holding Co  
 Date of Evaluation 12/14/2009 1:56:56 PM  
 Requested Individual suzanne.steab

CAUTION: The Applicant/Violator System (AVS) is an informational database. Permit eligibility determinations are made by the regulatory authority with jurisdiction over the permit application not by the AVS. Results which display outstanding violations may not include critical information about settlements or other conditions that affect permit eligibility. Consult the AVS Office at 800-643-9748 for verification of information prior to making decisions on these results.

**3 Violations Found.**

<b>1: State Civil Penalty</b>	<b>510680</b>	<b>KY</b>	<b>Permit:8670346</b>	<b>Conditional</b>	<b>6/19/1996</b>
Violator 1:	100624 Manning Coal Corp				
<b>2: Bond Forfeiture</b>	<b>U035700</b>	<b>WV</b>	<b>Permit:U035700</b>	<b>Outstanding</b>	<b>12/2/1985</b>
Violator 1:	077747 Glory Coal Co				
<b>3: Bond Forfeiture</b>	<b>U074400</b>	<b>WV</b>	<b>Permit:U074400</b>	<b>Outstanding</b>	<b>12/2/1985</b>
Violator 1:	077747 Glory Coal Co				

**Evaluation OFT**

Entities: 30

- 249455 Alpha Natural Resources Inc (New Alpha) - ()
- 085433 Frank J Wood - (Assistant Secretary )
- 085433 Frank J Wood - (Chief Financial Officer)
- 085433 Frank J Wood - (Executive Vice President)
- 085433 Frank J Wood - (Treasurer)
- 086508 Michael Jack Quillen - (Chairman of the Board)
- 086508 Michael Jack Quillen - (Director)
- 110318 Joel Richards Iii - (Director)
- 110529 Eddie Walter Neely - (Corporate Officer)
- 110529 Eddie Walter Neely - (Executive Vice President)
- 125703 E Linn Draper Jr - (Director)
- 128401 Michael R Peelish - (Corporate Officer)
- 128401 Michael R Peelish - (Executive Vice President)
- 128409 Greg A Walker - (Senior Vice President)
- 133477 Kevin S Crutchfield - (Chief Executive Officer)
- 133477 Kevin S Crutchfield - (Director)
- 140380 Randy L Mcmillion - (Corporate Officer)
- 140380 Randy L Mcmillion - (Executive Vice President)
- 142102 Vaughn R Groves - (Executive Vice President)
- 142102 Vaughn R Groves - (General Counsel)
- 142102 Vaughn R Groves - (Secretary)
- 147479 James F Roberts - (Director)
- 151265 Kurt D Kost - (President)
- 155588 John W Fox Jr - (Director)
- 155646 William J Crowley Jr - (Director)
- 156408 Glenn A Eisenberg - (Director)
- 157755 P Michael Giftos - (Director)
- 157937 Ted G Wood - (Director)
- 158301 A Scott Pack Jr - (Executive Vice President)
- 249094 Phillip J Cavatoni - (Corporate Officer)
- 249094 Phillip J Cavatoni - (Executive Vice President)
- 249466 Alpha American Coal Holding LLC - (Subsidiary Company)
- 085433 Frank J Wood - (Chief Financial Officer)
- 085433 Frank J Wood - (Director)
- 085433 Frank J Wood - (Senior Vice President)
- 128409 Greg A Walker - (Assistant Secretary )
- 133477 Kevin S Crutchfield - (Director)
- 133477 Kevin S Crutchfield - (President)
- 142102 Vaughn R Groves - (Secretary)
- 142102 Vaughn R Groves - (Vice President)
- 149019 Gary G Pearson - (Treasurer)
- 155146 Wanda K Fields - (Assistant Secretary )
- 155645 James L Anderson Jr - (Controller)
- 249465 Carlette R Allen - (Assistant Secretary )
- 249467 Alpha American Coal Company LLC - (Subsidiary Company)
- 085433 Frank J Wood - (Director)

-----085433 Frank J Wood - (Vice President)  
 -----128409 Greg A Walker - (Assistant Secretary )  
 -----133477 Kevin S Crutchfield - (Director)  
 -----133477 Kevin S Crutchfield - (President)  
 -----142102 Vaughn R Groves - (Secretary)  
 -----142102 Vaughn R Groves - (Vice President)  
 -----149019 Gary G Pearson - (Treasurer)  
 -----155146 Wanda K Fields - (Assistant Secretary )  
 -----155645 James L Anderson Jr - (Controller)  
 -----249465 Carlette R Allen - (Assistant Secretary )  
 -----249468 Alpha Midwest Holding Company - (Subsidiary Company)  
 -----085433 Frank J Wood - (Director)  
 -----085433 Frank J Wood - (Vice President)  
 -----128409 Greg A Walker - (Assistant Secretary )  
 -----132202 William M Hartzler - (Assistant Secretary )  
 -----142102 Vaughn R Groves - (Secretary)  
 -----142102 Vaughn R Groves - (Vice President)  
 -----146020 Castle Gate Holding Co - (Subsidiary Company)  
 -----085433 Frank J Wood - (Director)  
 -----085433 Frank J Wood - (Vice President)  
 -----128409 Greg A Walker - (Assistant Secretary )  
 -----132202 William M Hartzler - (Assistant Secretary )  
 -----142102 Vaughn R Groves - (Secretary)  
 -----142102 Vaughn R Groves - (Vice President)  
 -----149019 Gary G Pearson - (Treasurer)  
 -----151264 James J Bryja - (President)  
 -----151265 Kurt D Kost - (Director)  
 -----155146 Wanda K Fields - (Assistant Secretary )  
 -----155645 James L Anderson Jr - (Controller)  
 -----249465 Carlette R Allen - (Assistant Secretary )  
 -----149019 Gary G Pearson - (Treasurer)  
 -----151264 James J Bryja - (President)  
 -----151265 Kurt D Kost - (Director)  
 -----155146 Wanda K Fields - (Assistant Secretary )  
 -----155645 James L Anderson Jr - (Controller)  
 -----249465 Carlette R Allen - (Assistant Secretary )

**Narrative 12/14/09 - Violation 1 is coded "conditional", indicating a settlement, payment plan, or pending challenge. Violations 2 and 3 are under Pittston settlement. Linking entities are Eddie Neely, etc. aw**