

**From:** Dennis Ware <dware@alphanr.com>  
**To:** "ogmcoal@utah.gov" <ogmcoal@utah.gov>  
**CC:** "stevedemczak@utah.gov" <stevedemczak@utah.gov>  
**Date:** 3/25/2011 1:06 PM  
**Subject:** Annual Report  
**Attachments:** Castle Gate Mine 2010 Annual Report.pdf; Attachment A Ownership andControl Castle Gate Holding Company.pdf

Annual Report

The attached file is the filled-out form. Please open it to review the data.

Please find attached the 2010 Annual Report for the Castle Gate Mine. This report includes new Ownership and Control information as attachment A.

Dennis Ware

Submit by Email

Print Form

Reset Form

# Annual Report

Date

This Annual Report shows information the Division has for your mine. Submit the completed document and any additional information identified in the Appendices to the Division by **March 31, 2011**. During a complete inspection an inspector will check and verify the information.

## GENERAL INFORMATION

Company Name	<input type="text" value="Castle Gate Holding Company"/>	Mine Name	<input type="text" value="Castle Gate Mine"/>
Permit Number	<input type="text" value="C/007/0004"/>	Permit expiration Date	<input type="text" value="2014-12-23"/>
Operator Name	<input type="text" value="Castle Gate Holding Company"/>	Phone Number	<input type="text" value="+1 (435) 472-0475"/>
Mailing Address	<input type="text" value="P.O. Box 30"/>	Email	<input type="text" value="dware@alphanr.com"/>
City	<input type="text" value="Helper"/>	State	<input type="text" value="UT"/>
		Zip Code	<input type="text" value="84526"/>

## CERTIFIED REPORTS

DOGM File Location or Annual Report Location

Excess Spoil Piles	<input type="checkbox"/> Required <input checked="" type="checkbox"/> Not Required	<input type="text"/>
Refuse Piles	<input type="checkbox"/> Required <input checked="" type="checkbox"/> Not Required	<input type="text"/>
Impoundments	<input type="checkbox"/> Required <input checked="" type="checkbox"/> Not Required	<input type="text"/>
Other:	<input type="text"/>	<input type="text"/>

## OPERATOR COMMENTS

**REVIEWER COMMENTS**     Met Requirements     Did Not meet Requirements

## REPORTING OF OTHER TECHNICAL DATA

List other technical data and information as required under the approved plan, which must be periodically submitted to the Division. Specify whether the information is included as an attachment to this report or currently on file with the Division.

### Please List Attachments:

No required technical data.

**REVIEWER COMMENTS**  Met Requirements  Did Not meet Requirements

## LEGAL, FINANCIAL, COMPLIANCE AND RELATED INFORMATION

The Division is Requesting that each Permittee review and update the legal, financial, compliance and related information in the plan as part of the annual report. Please provide the Department of Commerce, Annual Report of Officers, or other equivalent information as necessary to ensure that the information provided in the plan is current. Provide any other change as necessary regarding land ownership, lease acquisitions, legal results from appeals of violations, or other changes as necessary to update information required in the mining and reclamation plan. Include certified financial statements, audits or worksheets, which may be required to meet bonding requirements. Specify whether the information is currently on file with the Division or included as an attachment to the report.

### LEGAL/ FINANCIAL UPDATE

Included

DOGM File Location or Annual Report Location

Ownership and Control	<input checked="" type="checkbox"/>	Attachment A
	<input type="checkbox"/>	
	<input type="checkbox"/>	
	<input type="checkbox"/>	

**REVIEWER COMMENTS**  Met Requirements  Did Not meet Requirements

**MAPS**

Copies of mine maps, current and up-to-date through at least December 31, 2010, are to be provided to the Division as an attachment to this report in accordance with the requirements of R 645-301-525.240. The map copies shall be made in accordance with 30 CFR 75.1200 as required by MSHA. Mine maps are not considered confidential.

Map Name	Map Number	Included		Confidential	
		Yes	No	Yes	No
Annual Subsidence Map		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Mine Map		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**REVIEWER COMMENTS**     Met Requirements     Did Not meet Requirements

**OTHER INFORMATION**

Please provide any comments of further information to be included as part of the Annual Report. Any other attachments are to be provided as an attachment to this report. If information is submitted as a group rather than by individual mine, please identify each of the mine's data in the list below.

**Please List Attachments:**

**REVIEWER COMMENTS**



Alpha Natural Resources, Inc. (New Alpha) AVS Entity No. (249455)  
 One Alpha Place, P.O. Box 2345  
 Abingdon, Virginia 24212

Phone: (276) 619-4410 Fax: (276) 628-3116 Employer ID. No. 42-1638663

Incorporated: Delaware 07/19/2004 as FC 1 Corp.  
 08/10/2004 - Changed name to Foundation Coal Holdings, Inc.  
 08/17/2004 - Foundation Coal Holdings, LLC merged into Foundation Coal Holdings, Inc.  
 07/31/2009 - Alpha Natural Resources, Inc. (AVS Entity 156135), a DE corp, restated 02/11/05, merged into Foundation Coal Holdings, Inc. and changed its name to Alpha Natural Resources, Inc. FC2 Corp. merged into Alpha Natural Resources, Inc. on 07/31/2009. Foundation Coal Corporation merged into Alpha Natural Resources, Inc. on 08/01/2009.  
 Qualified to do Business: MD - 10/20/2004, VA - 08/07/2009, CO - 08/07/2009.  
 Publicly traded company, NYSE: ANR  
 Registered Agent: Corporation Service Company  
 2711 Centerville Road, Suite 400  
 Wilmington, DE 19808

## OFFICER INFORMATION

Entity No.	Officer	Title	Begin Date	End Date
246727	Todd C. Allen	VP - Investor & Media Relations	09/17/2007	07/31/2009
155645	James L. Anderson, Jr.	Corporate Controller	12/15/2004	07/31/2009
155645	James L. Anderson, Jr.	Vice President	09/13/2007	07/31/2009
151264	James J. Bryja	Senior VP - Operations	06/18/2007	07/31/2009
249094	Philip J. Cavatoni	Chief Strategy Officer	07/31/2009	
249094	Philip J. Cavatoni	Executive Vice President	07/31/2009	
155646	William J. Crowley, Jr.	Director	12/01/2004	
133477	Kevin S. Crutchfield	Chief Executive Officer	07/31/2009	
133477	Kevin S. Crutchfield	Director	07/31/2009	
125703	Dr. E. Linn Draper, Jr.	Director	07/31/2009	
156408	Glenn A. Eisenberg	Director	07/31/2009	
155282	David I. Foley	Director	08/17/2004	07/31/2009
* 155588	John W. Fox, Jr.	Director	07/31/2009	01/05/2011
157755	P. Michael Giftos	Director	12/07/2005	
142102	Vaughn R. Groves	Executive Vice President	07/31/2009	
142102	Vaughn R. Groves	General Counsel	07/31/2009	
142102	Vaughn R. Groves	Secretary	07/31/2009	
155454	Edythe C. Katz	Assistant Secretary	10/07/2004	07/31/2009
151265	Kurt D. Kost	Chief Operations Officer	01/01/2008	07/31/2009
151265	Kurt D. Kost	Director	02/26/2009	07/31/2009
151265	Kurt D. Kost	President	01/01/2008	
153201	Alex T. Krueger	Director	08/17/2004	07/31/2009
140380	Randy L. McMillion	Chief Administrative Officer	07/31/2009	

## Alpha Natural Resources, Inc. (New Alpha) - Officer Information (Continued)

Entity No.	Officer	Title	Begin Date	End Date
140380	Randy L. McMillion	Executive Vice President	07/31/2009	
110529	Eddie Walter Neely	Chief Risk Officer	07/31/2009	
110529	Eddie Walter Neely	Executive Vice President	07/31/2009	
125073	James A. Olsen	Chief Information Officer	12/13/2007	07/31/2009
125073	James A. Olsen	Senior Vice President	12/13/2007	07/31/2009
158301	A. Scott Pack, Jr.	Executive VP - Sales	09/14/2009	
158301	A. Scott Pack, Jr.	Senior VP - Sales & Marketing	05/18/2006	07/31/2009
149019	Gary G. Pearson	Treasurer	08/17/2004	07/31/2009
128401	Michael R. Peelish	Chief Sustainability Officer	07/31/2009	
128401	Michael R. Peelish	Executive Vice President	07/31/2009	
128401	Michael R. Peelish	Senior VP - Safety & HR	08/17/2004	07/31/2009
153548	Joachim V. Porco	Chief Commercial Officer	07/31/2009	09/04/2009
153548	Joachim V. Porco	Executive Vice President	07/31/2009	09/04/2009
086508	Michael Jack Quillen	Chairman	01/01/2010	
086508	Michael Jack Quillen	Director	07/31/2009	
086508	Michael Jack Quillen	Executive Chairman	07/31/2009	12/31/2009
110318	Joel Richards, III	Director	03/08/2005	
110318	Joel Richards, III	Lead Independent Director	04/01/2006	07/31/2009
147479	James F. Roberts	Chairman of the Board	04/01/2006	07/31/2009
147479	James F. Roberts	Chief Executive Officer	08/17/2004	07/31/2009
147479	James F. Roberts	Director	08/17/2004	
119621	Robert Charles Scharp	Director	12/07/2005	07/31/2009
014012	Thomas V. Shockley, III	Director	04/01/2006	07/31/2009
128409	Greg A. Walker	General Counsel	08/17/2004	07/31/2009
128409	Greg A. Walker	Secretary	08/17/2004	07/31/2009
* 128409	Greg A. Walker	Senior Vice President	08/17/2004	01/28/2011
085433	Frank J. Wood	Assistant Secretary	07/31/2009	
085433	Frank J. Wood	Chief Financial Officer	08/17/2004	
085433	Frank J. Wood	Executive Vice President	07/31/2009	
085433	Frank J. Wood	Senior Vice President	08/17/2004	07/31/2009
085433	Frank J. Wood	Treasurer	07/31/2009	
157937	Ted G. Wood	Director	07/31/2009	

Alpha American Coal Company, LLC  
 One Alpha Place, P.O. Box 2345  
 Abingdon, Virginia 24212

AVS Entity No. (249467)

Phone: (276)619-4410 Fax: (276)628-3116 Employer ID. No. 54-1947356

Formed: Delaware 06/18/1999

Qualified to do business: Colorado 07/01/99, withdrew 03/20/01; Maryland 06/29/99,  
 withdrew 09/14/05; Virginia 12/29/09

Formerly RAG American Coal Company, a corporation. Converted to a limited liability  
 company 3/10/04 - RAG American Coal Company LLC; name changed to Foundation American  
 Coal Company, LLC 7/30/04; name changed to Alpha American Coal Company, LLC 7/31/09

Registered Agent: Corporation Service Company  
 2711 Centerville Road, Suite 400  
 Wilmington, DE 19808

#### OFFICER INFORMATION

Entity No.	Officer	Title	Begin Date	End Date
* 249465	Carlette R. Allen	Assistant Secretary	07/31/2009	12/31/2010
155645	James L. Anderson, Jr.	Controller	05/01/2005	03/31/2010
133477	Kevin S. Crutchfield	Director	07/31/2009	09/28/2010
133477	Kevin S. Crutchfield	Manager	09/28/2010	
133477	Kevin S. Crutchfield	President	07/31/2009	
155146	Wanda K. Fields	Assistant Secretary	07/31/2009	
142102	Vaughn R. Groves	Secretary	07/31/2009	
142102	Vaughn R. Groves	Vice President	07/31/2009	
107084	Roger D. Ketron	Assistant Treasurer	02/15/2010	
159078	Brian L. Miller	Treasurer	02/15/2010	
149019	Gary G. Pearson	Treasurer	03/27/2001	02/15/2010
147479	James F. Roberts	Chief Executive Officer	07/30/2004	07/31/2009
147479	James F. Roberts	President	07/30/2004	07/31/2009
* 128409	Greg A. Walker	Assistant Secretary	07/31/2009	01/28/2011
128409	Greg A. Walker	Secretary	07/30/2004	07/31/2009
085433	Frank J. Wood	Director	07/30/2004	09/28/2010
085433	Frank J. Wood	Vice President	06/30/1999	

Alpha American Coal Company, LLC  
One Alpha Place, P.O. Box 2345  
Abingdon, Virginia 24212

AVS Entity No. (249467)

Phone: (276)619-4410 Fax: (276)628-3116 Employer ID. No. 54-1947356

Formed: Delaware 06/18/1999

Qualified to do business: Colorado 07/01/99, withdrew 03/20/01; Maryland 06/29/99,  
withdrew 09/14/05; Virginia 12/29/09

Formerly RAG American Coal Company, a corporation. Converted to a limited liability  
company 3/10/04 - RAG American Coal Company LLC; name changed to Foundation American  
Coal Company, LLC 7/30/04; name changed to Alpha American Coal Company, LLC 7/31/09

Registered Agent: Corporation Service Company  
2711 Centerville Road, Suite 400  
Wilmington, DE 19808

#### OWNER INFORMATION

Entity No.	Owner Name	Ownership Type	Begin Date	End Date
249466	Alpha American Coal Holding, LLC	Member 100%	07/31/2009	
156694	Foundation American Coal Holding, LLC	Member 100%	08/19/2005	07/31/2009

Alpha American Coal Holding, LLC  
 One Alpha Place, P.O. Box 2345  
 Abingdon, Virginia 24212

AVS Entity No. (249466)

Phone: (276)619-4410 Fax: (276)628-3116 Employer ID. No. 13-2793319

Formed: Delaware 10/31/1974

Qualified to do business: Colorado 07/13/99; Maryland 08/23/05

(Formerly Ruhrkohle-Stinnes Corporation; name change to Ruhr-American Coal Corporation 10/01/75; name change to RAG American Coal Corporation 12/22/98; name change to RAG American Coal Holding, Inc. 6/18/99; name change to Foundation Coal Holding, Inc. 7/30/04; stock acquired by Foundation Coal Corporation 7/30/04; name change to Foundation American Coal Holding, Inc. 8/9/04; converted to Foundation American Coal Holding, LLC 8/19/05; name change to Alpha American Coal Holding, LLC 7/31/09)

Registered Agent: Corporation Service Company  
 2711 Centerville Road, Suite 400  
 Wilmington, DE 19808

#### OFFICER INFORMATION

Entity No.	Officer	Title	Begin Date	End Date
* 249465	Carlette R. Allen	Assistant Secretary	07/31/2009	12/31/2010
155645	James L. Anderson, Jr.	Controller	05/01/2005	03/31/2010
133477	Kevin S. Crutchfield	Director	07/31/2009	09/10/2010
133477	Kevin S. Crutchfield	Manager	09/10/2010	
133477	Kevin S. Crutchfield	President	07/31/2009	
155146	Wanda K. Fields	Assistant Secretary	07/31/2009	
142102	Vaughn R. Groves	Secretary	07/31/2009	
142102	Vaughn R. Groves	Vice President	07/31/2009	
107084	Roger D. Ketron	Assistant Treasurer	02/15/2010	
159078	Brian L. Miller	Treasurer	02/15/2010	
149019	Gary G. Pearson	Treasurer	03/27/2001	02/15/2010
147479	James F. Roberts	Chief Executive Officer	08/19/2005	07/31/2009
147479	James F. Roberts	Director	08/19/2005	07/31/2009
147479	James F. Roberts	President	08/19/2005	07/31/2009
* 128409	Greg A. Walker	Assistant Secretary	07/31/2009	01/28/2011
128409	Greg A. Walker	Secretary	08/19/2005	07/31/2009
085433	Frank J. Wood	Chief Financial Officer	03/02/2004	
085433	Frank J. Wood	Director	07/30/2004	09/10/2010
085433	Frank J. Wood	Senior Vice President	03/02/2004	

Alpha American Coal Holding, LLC  
One Alpha Place, P.O. Box 2345  
Abingdon, Virginia 24212

AVS Entity No. (249466)

Phone: (276) 619-4410 Fax: (276) 628-3116 Employer ID. No. 13-2793319

Formed: Delaware 10/31/1974

Qualified to do business: Colorado 07/13/99; Maryland 08/23/05

(Formerly Ruhrkohle-Stinnes Corporation; name change to Ruhr-American Coal Corporation 10/01/75; name change to RAG American Coal Corporation 12/22/98; name change to RAG American Coal Holding, Inc. 6/18/99; name change to Foundation Coal Holding, Inc. 7/30/04; stock acquired by Foundation Coal Corporation 7/30/04; name change to Foundation American Coal Holding, Inc. 8/9/04; converted to Foundation American Coal Holding, LLC 8/19/05; name change to Alpha American Coal Holding, LLC 7/31/09)

Registered Agent: Corporation Service Company  
2711 Centerville Road, Suite 400  
Wilmington, DE 19808

OWNER INFORMATION

Entity No.	Owner Name	Ownership Type	Begin Date	End Date
249455	Alpha Natural Resources, Inc. (New Alpha)	Member 100%	07/31/2009	
155270	Foundation Coal Corp.	Member 100%	08/19/2005	07/31/2009

Alpha Midwest Holding Company  
 One Alpha Place, P.O. Box 2345  
 Abingdon, Virginia 24212

AVS Entity No. (249468)

Phone: (276)619-4410 Fax: (276)628-3116 Employer ID. No. 84-1456626

Formed: Delaware 04/02/1998

Qualified to do business: IL - 05/05/98, withdrew 06/16/00; IN - 04/23/98, withdrew 05/17/00; UT - 04/23/98, withdrew 05/18/01.

(formerly Cyprus Amax Midwest Holding Company, name changed to RAG Midwest Holding Company 06/30/1999; name changed to Foundation Midwest Holding Company 7/30/04; name changed to Alpha Midwest Holding Company 7/31/09

Registered Agent: Corporation Service Company  
 2711 Centerville Road, Suite 400  
 Wilmington, DE 19808

#### OFFICER INFORMATION

Entity No.	Officer	Title	Begin Date	End Date
* 249465	Carlette R. Allen	Assistant Secretary	07/31/2009	12/31/2010
155645	James L. Anderson, Jr.	Controller	05/01/2005	03/31/2010
151264	James J. Bryja	President	12/01/2007	
155146	Wanda K. Fields	Assistant Secretary	07/31/2009	
142102	Vaughn R. Groves	Secretary	07/31/2009	
142102	Vaughn R. Groves	Vice President	07/31/2009	
132202	William M. Hartzler	Assistant Secretary	01/01/2000	
107084	Roger D. Ketron	Assistant Treasurer	02/15/2010	
151265	Kurt D. Kost	Director	07/31/2009	
159078	Brian L. Miller	Treasurer	02/15/2010	
149019	Gary G. Pearson	Treasurer	03/27/2001	02/15/2010
147479	James F. Roberts	Director	07/30/2004	07/31/2009
* 128409	Greg A. Walker	Assistant Secretary	07/31/2009	01/28/2011
128409	Greg A. Walker	Secretary	07/30/2004	07/31/2009
085433	Frank J. Wood	Director	06/30/1999	
085433	Frank J. Wood	Vice President	04/02/1998	

Alpha Midwest Holding Company  
One Alpha Place, P.O. Box 2345  
Abingdon, Virginia 24212

AVS Entity No. (249468)

Phone: (276) 619-4410 Fax: (276) 628-3116 Employer ID. No. 84-1456626

Formed: Delaware 04/02/1998

Qualified to do business: IL - 05/05/98, withdrew 06/16/00; IN - 04/23/98, withdrew 05/17/00; UT - 04/23/98, withdrew 05/18/01.

(formerly Cyprus Amax Midwest Holding Company, name changed to RAG Midwest Holding Company 06/30/1999; name changed to Foundation Midwest Holding Company 7/30/04; name changed to Alpha Midwest Holding Company 7/31/09

Registered Agent: Corporation Service Company  
2711 Centerville Road, Suite 400  
Wilmington, DE 19808

#### OWNER INFORMATION

Entity No.	Owner Name	Ownership Type	Begin Date	End Date
249467	Alpha American Coal Company, LLC	Stockholder 100%	07/31/2009	
155221	Foundation American Coal Company, LLC	Stockholder 100%	07/30/2004	07/31/2009

Castle Gate Holding Company  
P. O. Box 30  
Helper, Utah 84526

AVS Entity No. (146020)

Phone: (435)472-0475 Fax: (000)000-0000 Employer ID. No. 84-1456620

Incorporated: Delaware 04/02/1998  
Qualified to do business: Utah - 04/20/1998  
Registered Agent: Corporation Service Company  
2711 Centerville Road, Suite 400  
Wilmington, DE 19808

## OFFICER INFORMATION

Entity No.	Officer	Title	Begin Date	End Date
* 249465	Carlette R. Allen	Assistant Secretary	07/31/2009	12/31/2010
155645	James L. Anderson, Jr.	Controller	05/01/2005	03/31/2010
151264	James J. Bryja	Chief Executive Officer	12/01/2007	
151264	James J. Bryja	President	12/01/2007	
155146	Wanda K. Fields	Assistant Secretary	07/31/2009	
142102	Vaughn R. Groves	Secretary	07/31/2009	
142102	Vaughn R. Groves	Vice President	07/31/2009	
132202	William M. Hartzler	Assistant Secretary	01/01/2000	
107084	Roger D. Ketron	Assistant Treasurer	02/15/2010	
151265	Kurt D. Kost	Director	07/31/2009	
159078	Brian L. Miller	Treasurer	02/15/2010	
125073	James A. Olsen	President	04/01/2005	12/01/2007
149019	Gary G. Pearson	Treasurer	03/27/2001	02/15/2010
147479	James F. Roberts	Director	06/30/1999	07/31/2009
* 128409	Greg A. Walker	Assistant Secretary	07/31/2009	01/28/2011
128409	Greg A. Walker	Secretary	06/30/1999	07/31/2009
085433	Frank J. Wood	Director	06/30/1999	
085433	Frank J. Wood	Vice President	04/02/1998	

Castle Gate Holding Company  
P. O. Box 30  
Helper, Utah 84526

AVS Entity No. (146020)

Phone: (435)472-0475 Fax: (000)000-0000 Employer ID. No. 84-1456620

Incorporated: Delaware 04/02/1998  
Qualified to do business: Utah - 04/20/1998  
Registered Agent: Corporation Service Company  
2711 Centerville Road, Suite 400  
Wilmington, DE 19808

OWNER INFORMATION

Entity No.	Owner Name	Ownership Type	Begin Date	End Date
249468	Alpha Midwest Holding Company	Stockholder 100%	07/31/2009	
155284	Foundation Midwest Holding Company	Stockholder 100%	07/30/2004	07/31/2009