



Jim Geringer, Governor

0028

The State of Wyoming

# Department of Environmental Quality

Herschler Building • 122 West 25th Street • Cheyenne, Wyoming 82002

*Did not respond.*

*None exist*

*Incoming  
page 11/22/02 C/007/006*



<b>ADMIN/OUTREACH</b> (307) 777-7758 FAX 777-3610	<b>ABANDONED MINES</b> (307) 777-6145 FAX 777-6462	<b>AIR QUALITY</b> (307) 777-7391 FAX 777-5616	<b>INDUSTRIAL SITING</b> (307) 777-7368 FAX 777-6937	<b>LAND QUALITY</b> (307) 777-7756 FAX 777-5864	<b>SOLID &amp; HAZ. WASTE</b> (307) 777-7752 FAX 777-5973	<b>WATER QUALITY</b> (307) 777-7781 FAX 777-5973
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November 18, 2002

## CERTIFIED MAIL RETURN RECEIPT REQUESTED #7002 0460 0002 9818 9406

Utah Department of Natural Resources & Energy  
Division of Oil, Gas & Mining  
1594 W North Temple Ste 121  
Salt Lake City, UT

**RE: RAG Coal West, Inc., Permit No. 428 T-4**

Dear Sir/Madame:

The Land Quality Division is currently evaluating an application for a coal mining permit renewal for RAG Coal West, Inc.. As part of our review of outstanding fines and/or penalties incurred by the applicant, we are contacting other state regulatory agencies who have issued permits and/or notices of violation to RAG Coal West, Inc.. Your state agency was included in the applicant's "Identification of Interests and Statement of Compliance" sections of the permit application.

If RAG Coal West, Inc. or any of the attached owners, officers or directors are currently in violation, have had permits suspended or revoked, bonds forfeited, or currently owe money for fines, fees or civil penalties, please notify us immediately. If after fifteen (15) days we do not receive a response from you, we will assume that the applicant, owners, directors are in compliance with your state.

Please note that we do not need to receive a response unless unabated violations, suspensions, cease and desist orders, revocations, bond forfeitures or outstanding fines, fees or civil penalties exist.

Thank you for your cooperation. If you have any questions, please contact this office.

Sincerely,

Deanna Hill  
Mine Operations Permit/Bonding Analyst  
Land Quality Division

DH:tf  
Attachment

xc: District 3  
Bill Boger, RAG Coal West, Inc., RAG Coal West, Inc.

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DIVISION OF  
OIL, GAS AND MINING

**TABLE 1.7-5 - PRINCIPAL OFFICERS AND DIRECTORS**

September 27, 2001

**RAG AMERICAN COAL HOLDING, INC - #394**

(Formerly Ruhrkohle-Stinnes Corporation; name change to Ruhr-American Coal Corporation 10/1/75; name change to RAG American Coal Corporation 2/22/98; name change to RAG American Coal Holding, Inc. 6/18/99)

**Principal Operating Office:**

999 Corporate Blvd.  
Linthicum Heights, MD 21090

**Telephone:**

410/689-7500

**Incorporation:**

State Delaware, The Corporation Trust Company, 1209 Orange Street, Wilmington, DE 19801  
Date October 31, 1974  
Existence Perpetual

**Qualified to Do Business In:**

West Virginia, Colorado and Maryland

**Ownership - Percentage:**

RAG Coal International Aktiengesellschaft (5/30/2000) - 100%  
Reilinghauser Strasse 1-11, 45128 Essen, Germany

**IRS Identification Number:**

13-2793319

**Officers:**

<u>Title</u>	<u>Name</u>	<u>Social Security #</u>	<u>Date Assumed Office</u>
President and Chief Executive Officer	James F. Roberts	187-40-9345	March 1, 1999
Senior Vice President, Western Operations	Thomas J. Lien	474-46-3032	September 14, 2001
Senior Vice President, Eastern Operations	Randy L. McMillion	235-90-8345	September 14, 2001
Senior Vice President	John R. Tellmann	315-54-6211	March 1, 2000
Senior Vice President, General Counsel and Secretary	Greg A. Walker	262-82-8649	August 24, 1999
Senior Vice President, Planning and Engineering	Klaus-Dieter Beck	German	September 14, 2001
Senior Vice President, Development and Information Technology	James A. Olsen	030-36-0783	September 14, 2001
Senior Vice President, Safety and Human Resources	M. R. Peelish	235-72-2473	September 14, 2001
Senior Vice President, Finance and Controller	Frank J. Wood	230-74-0342	September 14, 2001/
Treasurer	Gary G. Pearson	512-36-0698	March 1, 2000 March 27, 2001

TABLE 1.7-5 (Continued)  
 RAG AMERICAN COAL HOLDING, INC - #394  
 (Continued)

September 27, 2001

Directors:

<u>Title</u>	<u>Name</u>	<u>Social Security #</u>	<u>Date Assumed Office</u>
Chairman	Jurgen W. Stadelhofer	German	September 9, 1997
Vice Chairman	Karl F. Jakob	German	July 1, 1999
	Christoph Danzer-Vanotti	German	September 18, 2000
	Heribert Protzek	German	September 18, 2000
	Joel Richards III	534-44-7083	June 7, 2001
	James F. Roberts	187-40-9345	March 1, 1999
	Manfred Warda	German	September 18, 2000
	Anthony Williams	Withheld	March 22, 2000
	Fritz Ziegler	German	September 18, 2000

Resignations since June 30, 1999

<u>Title</u>	<u>Name</u>	<u>Social Security No.</u>	<u>Date Resigned</u>
Secretary and Treasurer	Roy West	235-88-5799	August 24, 1999
Vice President, Finance and Treasurer	J. Alan Link	232-92-6300	April 1, 2000
Director	Rainer Benning	German	September 30, 2000
Vice President, Development	James A. Olsen	030-36-0783	March 27, 2001
Vice President, Human Resources	Michael R. Peelish	235-72-2473	March 27, 2001
Treasurer	Frank J. Wood	230-74-0342	March 27, 2001
Assistant Treasurer	Gary G. Pearson	512-36-0698	March 27, 2001
Executive Vice President	John M. Demichiei	208-40-1828	September 13, 2001
Vice President, West Virginia Operations	Randy Hansford	232-92-7183	September 14, 2001
Vice President, Planning and Engineering	Klaus-Dieter Beck	German	September 14, 2001
Vice President, Development and Information Technology	James A. Olsen	030-36-0783	September 14, 2001
Vice President, Safety and Human Resources	Michael R. Peelish	235-72-2473	September 14, 2001
Vice President	Frank J. Wood	230-74-0342	September 14, 2001

**TABLE 1.7-5 (Continued)  
RAG AMERICAN COAL COMPANY - #389**

September 27, 2001

**Principal Operating Office:**

999 Corporate Blvd.  
Linthicum Heights, MD 21090

**Telephone:**

410/689-7500

**Incorporation:**

State Delaware, The Corporation Trust Company, 1209 Orange Street, Wilmington, DE 19801  
Date June 18, 1999  
Existence Perpetual

**Qualified to Do Business In:**

Maryland

**Ownership - Percentage:**

RAG American Coal Holding, Inc. (6/30/99) - 100%  
999 Corporate Blvd., Linthicum Heights, MD 21090

**IRS Identification Number:**

54-1947356

**OFFICERS:**

<u>Title</u>	<u>Name</u>	<u>Social Security #</u>	<u>Date Assumed Office</u>
President and Chief Executive Officer	James F. Roberts	187-40-9345	June 24, 1999
Senior Vice President, General Counsel And Secretary	Greg A. Walker	262-82-8649	June 30, 1999
Vice President	James A. Olsen	030-36-0783	September 18, 2000
Vice President and Controller	Darrell H. Roland	233-86-6633	March 1, 2000
Vice President	Frank J. Wood	230-74-0342	June 30, 1999
Vice President, Safety and Human Resources	Michael R. Peelish	235-72-2473	March 27, 2001
Treasurer	Gary G. Pearson	512-36-0698	March 27, 2001
<b>DIRECTORS:</b>	Karl F. Jakob	German	September 30, 2000
	James F. Roberts	187-40-9345	June 18, 1999
	Jurgen W. Stadelhofer	German	July 20, 1999

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TABLE 1.7-5 (Continued)  
 RAG AMERICAN COAL COMPANY - #389  
 (Continued)

September 27, 2001

Resignations (since incorporation):

<u>Title</u>	<u>Name</u>	<u>Social Security No.</u>	<u>Date Resigned</u>
Assistant Secretary	J. Alan Link	232-92-6300	June 30, 1999
Secretary, Treasurer	Roy West	235-88-5799	June 30, 1999
Senior Vice President, Sales and Marketing	Nicholas P. Moros	042-40-2347	August 11, 1999
Assistant Secretary	Susan E. Chetlin	210-46-8811	December 31, 1999
Controller	Frank J. Wood	230-74-0342	March 1, 2000
Senior Vice President, Development	Richard D. Mills	306-44-2951	March 31, 2000
Director, Vice President and Treasurer	J. Alan Link	232-92-6300	April 1, 2000
Senior Vice President, Western Operations	George E. Vajda	303-46-6591	May 16, 2000
Vice President, Process Management	Randall J. Scott	522-82-2279	June 30, 2000
Director	Rainer Benning	German	September 30, 2000
Treasurer	Frank J. Wood	230-74-0342	March 27, 2001
Vice President, Planning	Klaus-Dieter Beck	German	March 27, 2001
Vice President, Human Resources	Michael R. Peellish	235-72-2473	March 27, 2001
Assistant Treasurer	Gary G. Pearson	512-36-0698	March 27, 2001
Executive Vice President,	John M. DeMichiel	208-40-1828	September 13, 2001
Chief Operating Officer			

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**TABLE 1.7-5 (Continued)**  
**RAG WYOMING LAND COMPANY - #232**  
 (Formerly Meadowlark Utah, Inc., name changed to Amax Land Company 4/1/92; name changed to Rag Wyoming Land Company 6/30/99) September 27, 2001

**Principal Operating Office:** 2273 Bishop Road  
 Gillette, Wyoming 82718 10023 Highway 14-16  
 Gillette, Wyoming 82716

**Telephone:** 307/687-3400 307/687-3300

**Incorporation:** State: Delaware, The Corporation Trust Company, 1209 Orange Street, Wilmington, DE 19801  
 Date: January 2, 1986  
 Existence: Perpetual

**Qualified to Do Business In:** Utah, Wyoming

**Ownership - Percentage:** RAG American Coal Company (successor by merger to Cyprus Amax Coal Company 6/30/99) - 100%  
 999 Corporate Blvd., Linthicum Heights, MD 21090

**IRS Identification Number:** 35-1661756

**OFFICERS:**

<u>Title</u>	<u>Name</u>	<u>Social Security #</u>	<u>Date Assumed Office</u>
President	Thomas J. Lien	474-46-3032	September 14, 2001
Senior Vice President, General Counsel and Secretary	Greg A. Walker	262-82-8649	June 30, 1999
Vice President	Kurt D. Kost	503-74-0014	September 14, 2001
Vice President and Controller	Darrell H. Roland	233-86-6633	March 1, 2000
Vice President	Frank J. Wood	230-74-0342	December 20, 1993
Treasurer	Gary G. Pearson	512-36-0698	March 27, 2001
Assistant Secretary	C. Corwin Bromley	267-11-1226	January 1, 2000
Assistant Secretary	Sharon J. Fetherhuff	503-50-3540	January 1, 2000
Assistant Secretary	William M. Hartzler	313-50-6744	January 1, 2000
<b>DIRECTORS:</b>			
	James F. Roberts	187-40-9345	June 30, 1999
	Frank J. Wood	230-74-0342	June 30, 1999

**TABLE 1.7-5 (Continued)  
RAG WYOMING LAND COMPANY - #232  
(Continued)**

September 27, 2001

**Resignations (since January 1, 1996):**

<u>Title</u>	<u>Name</u>	<u>Social Security #</u>	<u>Date Resigned</u>
Vice President, Sales and Marketing	George E. Valda	303-46-6591	May 22, 1996
General Manager	Thomas J. Lien	474-46-3032	February 3, 1997
Vice President and Treasurer	Francis J. Kane	088-52-4169	December 31, 1997
Assistant Treasurer	Farokh S. Hakimi	093-42-6402	December 31, 1997
Vice President	George L. Raymond	306-44-6288	July 1, 1998
Assistant Secretary	Morris W. Kegley	306-52-2454	July 15, 1998
President	Randall J. Scott	522-82-2279	October 8, 1998
Director	Garold R. Spindler	233-74-2870	June 30, 1999
Director	Gerald J. Malys	165-36-0198	June 30, 1999
Director	Philip C. Wolf	317-50-5623	June 30, 1999
Senior Vice President	Gerald J. Malys	165-36-0198	June 30, 1999
and Secretary			
Vice President, Sales and Marketing	Philip C. Wolf	317-50-5623	June 30, 1999
Vice President and Treasurer	Patrick J. Panzarino	134-38-7821	June 30, 1999
Vice President and Assistant Secretary	Farokh S. Hakimi	093-42-6402	June 30, 1999
Assistant Treasurer	Greg A. Walker	262-82-8649	June 30, 1999
Director of Tax	J. M. Coyner	227-60-6113	June 30, 1999
Assistant Secretary	J. David Flemming	301-48-5865	June 30, 1999
Assistant Secretary	Sharon J. Fetherhuff	503-50-3540	June 30, 1999
Senior Vice President, Sales and Marketing	Dale E. Huffman	557-86-5790	June 30, 1999
Assistant Secretary	Nicholas P. Moros	042-40-2347	August 11, 1999
Controller	Susan E. Chetlin	210-46-8811	December 31, 1999
Vice President and Treasurer	Frank J. Wood	230-74-0342	March 1, 2000
Treasurer	J. Alan Link	232-92-6300	April 1, 2000
Assistant Treasurer	Frank J. Wood	230-74-0342	March 27, 2001
Vice President	Gary G. Pearson	512-36-0698	March 27, 2001
President and Director	Steven R. Youngbauer	391-44-6998	June 1, 2001
Vice President	John M. DeMichiel	208-40-1828	September 13, 2001
	Thomas J. Lien	474-46-3032	September 14, 2001

**TABLE 1.7-5 (Continued)**  
**RAG COAL WEST, INC. - #239**  
 (formerly Amax Coal West, Inc., name change effective June 30, 1999) September 27, 2001

**Principal Operating Offices:**  
 2273 Bishop Road 10023 Highway 14-16  
 Gillette, Wyoming 82718 Gillette, Wyoming 82716

**Telephone:** 307/687-3400 307/687-3300

**Incorporation:** State Delaware, The Corporation Trust Company, 1209 Orange Street, Wilmington, DE 19801  
 Date June 1, 1992  
 Existence Perpetual

**Qualified to Do Business In:** Wyoming

**Ownership - Percentage:** RAG American Coal Company (successor by merger to Cyprus Amax Coal Company 6/30/99) - 100%  
 999 Corporate Blvd., Linthicum Heights, MD 21090

**IRS Identification Number:** 35-1867616

**OFFICERS:**

<u>Title</u>	<u>Name</u>	<u>Social Security #</u>	<u>Date Assumed Office</u>
President	Kurt D. Kost	503-74-0014	September 14, 2001
Senior Vice President, General Counsel And Secretary	Greg A. Walker	262-82-8649	June 30, 1999
Vice President, Regional Sales (West)	Larry M. Deal	420-72-8611	February 4, 2000
Vice President	Thomas J. Lien	474-46-3032	September 14, 2001
Vice President	Darrell H. Roland	233-86-6633	March 1, 2000
Vice President and Controller	Frank J. Wood	230-74-0342	December 20, 1993
Vice President	Gary G. Pearson	512-36-0698	March 27, 2001
Treasurer	C. Corwin Bromley	267-11-1226	January 1, 2000
Assistant Secretary	Sharon J. Fetherhuff	503-50-3540	January 1, 2000
Assistant Secretary	William M. Hartzler	313-50-6744	January 1, 2000
<b>DIRECTORS:</b>	James F. Roberts	187-40-9345	June 30, 1999
	Frank J. Wood	230-74-0342	June 30, 1999

TABLE 1.7-5 (Continued)  
 RAG COAL WEST, INC. - #239  
 (Continued)

September 27, 2001

Resignations (since January 1, 1996):

<u>Title</u>	<u>Name</u>	<u>Social Security #</u>	<u>Date Resigned</u>
Vice President, Sales and Marketing	George E. Vajda	303-46-6591	May 22, 1996
General Manager	Thomas J. Lien	474-46-3032	February 3, 1997
Vice President and Treasurer	Francis J. Kane	088-52-4169	December 31, 1997
Assistant Treasurer	Farokh S. Hakimi	093-42-6402	December 31, 1997
Assistant Secretary	Morris W. Kegley	306-52-2454	July 15, 1998
President	Randall J. Scott	522-82-2279	October 8, 1998
Director	Garold R. Spindler	233-74-2870	June 30, 1999
Director	Gerald J. Malys	165-36-0198	June 30, 1999
Director	Phillip C. Wolf	317-50-5623	June 30, 1999
President	John M. DeMichiei	208-40-1828	June 30, 1999
Senior Vice President	Gerald J. Malys	165-36-0198	June 30, 1999
Senior Vice President, General Counsel and Secretary	Phillip C. Wolf	317-50-5623	June 30, 1999
Vice President and Treasurer	Farokh S. Hakimi	093-42-6402	June 30, 1999
Vice President	Thomas J. Lien	474-46-3032	June 30, 1999
Assistant Treasurer	J. M. Coyner	227-60-6113	June 30, 1999
Director of Tax	J. David Flemming	301-48-5865	June 30, 1999
Assistant Secretary	Sharon J. Fetherhuff	503-50-3540	June 30, 1999
Assistant Secretary	Greg A. Walker	262-82-8649	June 30, 1999
Assistant Secretary	Dale E. Huffman	557-86-5790	June 30, 1999
Senior Vice President, Sales and Marketing	Nicholas P. Moros	042-40-2347	August 11, 1999
Assistant Secretary	Susan E. Chetlin	210-46-8811	December 31, 1999
Vice President, Sales and Marketing	Patrick J. Panzarino	134-38-7821	January 19, 2000
Controller	Frank J. Wood	230-74-0342	March 1, 2000
Vice President and Treasurer	J. Alan Link	232-92-6300	April 1, 2000
Treasurer	Frank J. Wood	230-74-0342	March 27, 2001
Assistant Treasurer	Gary G. Pearson	512-36-0698	March 27, 2001
Director	John M. DeMichiei	208-40-1828	September 13, 2001
President	Thomas J. Lien	474-46-3032	September 14, 2001