State of Utah
DEPARTMENT OF NATURAL RESOURCES
DIVISION OF OIL, GAS AND MINING

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November 5, 1993

CERTIFIED RETURN RECEIPT
P 074 975 441

Mr. Joe Fielder
P.O. Box 99
Sunnyside, UT 84539

Re: Proposed Assessment for State Violation No. N93-40-7-1, Sunnyside Coal Company, Sunnyside Mine, ACT/007/007, Folder #5, Carbon County, Utah

Dear Mr. Fielder:

The undersigned has been appointed by the Board of Oil, Gas and Mining as the Assessment Officer for assessing penalties under R645-401.

Enclosed is the proposed civil penalty assessment for the above-referenced violation. The violation was issued by Division Inspector, Hugh Klein on September 16, 1993, 1993. Rule R645-401-600 et. sec. has been utilized to formulate the proposed penalty. By these rules, any written information which was submitted by you or your agent, within fifteen (15) days of receipt of the Notice of Violation, has been considered in determining the facts surrounding the violation and the amount of penalty.

Under R645-401-700, there are two informal appeal options available to you:

1. If you wish to informally appeal the fact of this violation, you should file a written request for an Informal Conference within 30 days of receipt of this letter. This conference will be conducted by the Division Director. This Informal Conference is distinct from the Assessment Conference regarding the proposed penalty.

2. If you wish to review the proposed penalty assessment, you should file a written request for an Assessment Conference within 30 days of receipt of this letter. If you are also requesting a review of the fact of violation, as

noted in paragraph 1, the Assessment Conference will be scheduled immediately following that review.

If a timely request for review is not made, the fact of violation will stand, the proposed penalty(ies) will become final, and the penalty(ies) will be due and payable within thirty (30) days of the proposed assessment. Please remit payment to the Division, mail c/o Vicki Bailey.

Sincerely,

Janeen Burns
for
Joseph C. Helfrich
Assessment Officer

sm
Enclosure
cc: Bernie Freeman, OSM

WORKSHEET FOR ASSESSMENT OF PENALTIES
UTAH DIVISION OF OIL, GAS AND MINING

COMPANY/MINE SUNNYSIDE COAL COMPANY/SUNNYSIDE MINE

NOV #N93-40-7-1

PERMIT # ACT/007/007 VIOLATION 1 OF 1

ASSESSMENT DATE 11/5/93 ASSESSMENT OFFICER Joseph C. Helfrich

I. HISTORY MAX 25 PTS

A. Are there previous violations which are not pending or vacated, which fall within 1 year of today's date?

ASSESSMENT DATE 11/5/93 EFFECTIVE ONE YEAR TO DATE 11/5/92

PREVIOUS VIOLATIONS	EFFECTIVE DATE	POINTS
N92-32-11-1	12/22/92	1
N92-32-12-1	04/16/93	1
N92-40-11-1	04/18/93	1
N92-40-12-2	04/18/93	2
N92-40-13-1	04/18/93	1
C92-32-1-1	04/16/93	5
N92-32-13-1	04/16/93	1
N92-32-14-1	04/16/93	1
N92-32-15-1	04/16/93	1
N92-32-16-1	04/16/93	1
N92-32-17-1	04/16/93	1
N92-26-5-1	04/18/93	1
N93-32-1-2	06/22/93	2
N93-32-2-5: parts 1, 3 & 5	09/01/93	3
C93-32-1-1	07/26/93	5

1 point for each past violation, up to one year;
5 points for each past violation in a CO, up to one year;
No pending notices shall be counted.

TOTAL HISTORY POINTS 27

II. SERIOUSNESS (either A or B)

NOTE: **For assignment of points in Parts II and III, the following applies. Based on the facts supplied by the inspector, the Assessment Officer will determine within which category, the Assessment Officer will adjust the points up or down, utilizing the inspector's and operator's statements as guiding documents.**

Is this an Event (A) or Hindrance (B) violation? Event

A. Event Violations Max 45 PTS

1. What is the event which the violated standard was designed to prevent? Conducting activities without appropriate approvals.

2. What is the probability of the occurrence of the event which a violated standard was designed to prevent? Occurred

. . . PROBABILITY	RANGE
. . . None	0
. . . Unlikely	1-9
. . . Likely	10-19
. . . Occurred	20

ASSIGN PROBABILITY OF OCCURRENCE POINTS 20

PROVIDE AN EXPLANATION OF POINTS

The inspector's statement revealed that coal and/or coal refuse had been crushed and sorted out of the Cogeneration facility but was too large for the present screens and was being returned to the Coarse Refuse Pile and the West Slurry Cell. This was apparent to the undersigned and confirmed by the operator's representative, Jerry Carter of Savage Brothers. In addition, all this can be corroborated by William Malencik who was present during the entire inspection.

3. What is the extent of actual or potential damage?

RANGE 0 - 25*

*In assigning points, consider the duration and extent of said damage or impact, in terms of area and impact on the public or environment.

ASSIGN DAMAGE POINTS 0

PROVIDE AN EXPLANATION OF POINTS

No damage occurred as a result of the violation.

B. Hindrance Violations MAX 25 PTS

1. Is this a potential or actual hindrance to enforcement? ___
RANGE 0 - 25

Assign points based on the extent to which enforcement is actually or potentially hindered by the violation.

ASSIGN HINDRANCE POINTS ____

PROVIDE AN EXPLANATION OF POINTS

TOTAL SERIOUSNESS POINTS (A or B) 20

III. NEGLIGENCE MAX 30 PTS

A. Was this an inadvertent violation which was unavoidable by the exercise of reasonable care? **IF SO - NO NEGLIGENCE;**
OR Was this a failure of a permittee to prevent the occurrence of a violation due to indifference, lack of diligence, or lack of reasonable care, or the failure to abate any violation due to the same? **IF SO - NEGLIGENCE;**
OR Was this violation the result of reckless, knowing, or intentional conduct? **IF SO - GREATER DEGREE OF FAULT THAN NEGLIGENCE.**

. . . No Negligence	0
. . . Negligence	1-15
. . . Greater Degree of Fault	16-30

STATE DEGREE OF NEGLIGENCE None

ASSIGN NEGLIGENCE POINTS 0

PROVIDE AN EXPLANATION OF POINTS

No negligence occurred as a result of the violation.

IV. **GOOD FAITH MAX 20 PTS.** (EITHER A or B) (Does not apply to violations requiring no abatement measures.)

A. Did the operator have onsite the resources necessary to achieve compliance of the violated standard within the permit area?
. . . **IF SO - EASY ABATEMENT**
Easy Abatement Situation
. . . **Immediate Compliance -11 to -20***
. . . Immediately following the issuance of the NOV)
. . . **Rapid Compliance -1 to -10***
. . . (Permittee used diligence to abate the violation)
. . . **Normal Compliance 0**
(Operator complied within the abatement period required)
(Operator complied with conditions and/or terms of approved Mining and Reclamation Plan)

* Assign in upper or lower half of range depending on abatement occurring in 1st or 2nd half of abatement period.

B. Did the permittee not have the resources at hand to achieve compliance OR does the situation require the submission of plans prior to physical activity to achieve compliance?
. . . **IF SO - DIFFICULT ABATEMENT**

Difficult Abatement Situation
. . . **Rapid Compliance -11 to -20***
. . . (Permittee used diligence to abate the violation)
. . . **Normal Compliance -1 to -10***
. . . (Operator complied within the abatement period required)
. . . **Extended Compliance 0**
(Permittee took minimal actions for abatement to stay within the limits of the NOV or the violated standard, or the plan submitted for abatement was incomplete)
(Permittee complied with conditions and/or terms of approved Mining and Reclamation Plan)

EASY OR DIFFICULT ABATEMENT? ____ **ASSIGN GOOD FAITH POINTS** -10

PROVIDE AN EXPLANATION OF POINTS
The permittee exercised diligence in abating this violation by and through the Operating Agreement approved by the Division on 10/27/93.

V. ASSESSMENT SUMMARY FOR N93-40-7-1

I.	TOTAL HISTORY POINTS	27
II.	TOTAL SERIOUSNESS POINTS	20
III.	TOTAL NEGLIGENCE POINTS	0
IV.	TOTAL GOOD FAITH POINTS	-10
	TOTAL ASSESSED POINTS	37
	TOTAL ASSESSED FINE	$ 0*

*Civil penalty is waived for the discretionary option for points assessed less than 50.

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