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State of Utah

DEPARTMENT OF NATURAL RESOURCES
DIVISION OF OIL, GAS AND MINING

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Salt Lake City, Utah 84180-1203
801-538-5340

September 10, 1991

CERTIFIED RETURN RECEIPT
P 074 979 123

Mr. Jon Passic
Genwal Coal Company
P.O. Box 766
Wellington, Utah 84542

Dear Mr. Passic:

Re: Proposed Assessment for State Violation No. N91-38-1-1, Genwal Coal Company, Wellington Prep. Plant, ACT/007/012, Folder #5, Carbon County, Utah

The undersigned has been appointed by the Board of Oil, Gas and Mining as the Assessment Officer for assessing penalties under R614-401.

Enclosed is the proposed civil penalty assessment for the above-referenced violation. The violation was issued by Division Inspector, Sharon K. Falvey on August 8, 1991. Rule R614-401-600 et. sec. has been utilized to formulate the proposed penalty. By these rules, any written information which was submitted by you or your agent, within fifteen (15) days of receipt of the Notice of Violation, has been considered in determining the facts surrounding the violation and the amount of penalty.

Under R614-401-700, there are two informal appeal options available to you:

1. If you wish to informally appeal the fact of this violation, you should file a written request for an Informal Conference within 30 days of receipt of this letter. This conference will be conducted by the Division Director. This Informal Conference is distinct from the Assessment Conference regarding the proposed penalty.

2. If you wish to review the proposed penalty assessment, you should file a written request for an Assessment Conference within 30 days of receipt of this letter. If you are also requesting a review of the fact of violation, as noted in paragraph 1, the Assessment Conference will be scheduled immediately following that review.

If a timely request for review is not made, the fact of violation will stand, the proposed penalty(ies) will become final, and the penalty(ies) will be due and payable within thirty (30) days of the proposed assessment. Please remit payment to the Division, mail c/o Vicki Bailey.

Sincerely,

Joseph C. Helfrich
Assessment Officer

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Enclosure

WORKSHEET FOR ASSESSMENT OF PENALTIES
UTAH DIVISION OF OIL, GAS AND MINING

COMPANY/MINE Genwal Coal Company/Wellington Prep. NOV # N91-38-1-1

PERMIT # ACT/007/012 VIOLATION 1 OF 1

ASSESSMENT DATE 09/09/91 ASSESSMENT OFFICER Joseph C. Helfrich

I. HISTORY MAX 25 PTS

A. Are there previous violations which are not pending or vacated, which fall within 1 year of today's date?

ASSESSMENT DATE 09/09/91 EFFECTIVE ONE YEAR TO DATE 09/09/90

PREVIOUS VIOLATIONS	EFFECTIVE DATE	POINTS
N90-28-7-1	01/20/91	1
N90-17-8-1	02/11/91	1
N91-28-1-1	05/18/91	1

1 point for each past violation, up to one year;
5 points for each past violation in a CO, up to one year;
No pending notices shall be counted.

TOTAL HISTORY POINTS 3

II. SERIOUSNESS (either A or B)

NOTE: **For assignment of points in Parts II and III, the following applies. Based on the facts supplied by the inspector, the Assessment Officer will determine within which category, the Assessment Officer will adjust the points up or down, utilizing the inspector's and operator's statements as guiding documents.**

Is this an Event (A) or Hindrance (B) violation? Hindrance

A. Event Violations Max 45 PTS

1. What is the event which the violated standard was designed to prevent?

_____

2. What is the probability of the occurrence of the event which a violated standard was designed to prevent? _____

. . . PROBABILITY	RANGE
. . . None	0
. . . Unlikely	1-9
. . . Likely	10-19
. . . Occurred	20

ASSIGN PROBABILITY OF OCCURRENCE POINTS ____

PROVIDE AN EXPLANATION OF POINTS

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3. What is the extent of actual or potential damage?

RANGE 0 - 25*

*In assigning points, consider the duration and extent of said damage or impact, in terms of area and impact on the public or environment.

ASSIGN DAMAGE POINTS ____

PROVIDE AN EXPLANATION OF POINTS

B. Hindrance Violations MAX 25 PTS

1. Is this a potential or actual hindrance to enforcement? Actual

RANGE 0 - 25

Assign points based on the extent to which enforcement is actually or potentially hindered by the violation.

ASSIGN HINDRANCE POINTS 12

PROVIDE AN EXPLANATION OF POINTS

1) The operator submitted information as Castle Valley Resources and signatures of permitting action submitted by Genwal Coal Company representatives, confusing permitting actions. 2) The land ownership was transferred prior to written approval by the Division, therefore, missing the public comment period.

TOTAL SERIOUSNESS POINTS (A or B) 12

III. NEGLIGENCE MAX 30 PTS

A. Was this an inadvertent violation which was unavoidable by the exercise of reasonable care? IF SO - NO NEGLIGENCE;
OR Was this a failure of a permittee to prevent the occurrence of a violation due to indifference, lack of diligence, or lack of reasonable care, or the failure to abate any violation due to the same? IF SO - NEGLIGENCE;
OR Was this violation the result of reckless, knowing, or intentional conduct? IF SO - GREATER DEGREE OF FAULT THAN NEGLIGENCE.

. . . No Negligence	0
. . . Negligence	1-15
. . . Greater Degree of Fault	16-30

STATE DEGREE OF NEGLIGENCE Greater Degree of Fault

ASSIGN NEGLIGENCE POINTS 18

PROVIDE AN EXPLANATION OF POINTS

The inspector's statement revealed that the operator was requested, one month prior, to submit information relative to company and/or personnel changes, thus constituting a knowing situation. As a result, 18 points are assigned.

IV. GOOD FAITH MAX 20 PTS. (EITHER A or B) (Does not apply to violations requiring no abatement measures.)

A. Did the operator have onsite the resources necessary to achieve compliance of the violated standard within the permit area?
. . . IF SO - EASY ABATEMENT
Easy Abatement Situation
. . . **Immediate Compliance** **-11 to -20***
. . . Immediately following the issuance of the NOV)
. . . **Rapid Compliance** **-1 to -10***
. . . (Permittee used diligence to abate the violation)
. . . **Normal Compliance** **0**
(Operator complied within the abatement period required)
(Operator complied with conditions and/or terms of approved Mining and Reclamation Plan)

* Assign in upper or lower half of range depending on abatement occurring in 1st or 2nd half of abatement period.

B. Did the permittee not have the resources at hand to achieve compliance OR does the situation require the submission of plans prior to physical activity to achieve compliance?
. . . IF SO - DIFFICULT ABATEMENT

Difficult Abatement Situation
. . . **Rapid Compliance** -11 to -20*
. . . (Permittee used diligence to abate the violation)
. . . **Normal Compliance** -1 to -10*
. . . (Operator complied within the abatement period required)
. . . **Extended Compliance** 0
(Permittee took minimal actions for abatement to stay within the limits of the NOV or the violated standard, or the plan submitted for abatement was incomplete)
(Permittee complied with conditions and/or terms of approved Mining and Reclamation Plan)

EASY OR DIFFICULT ABATEMENT? ____ **ASSIGN GOOD FAITH POINTS** 0

PROVIDE AN EXPLANATION OF POINTS

The permittee had the resources necessary to achieve compliance and, as such, complied within the abatement period. As noted, part one of the remedial action was met on August 16, 1991 as required, and part two was met on August 23, 1991 as required.

V. ASSESSMENT SUMMARY FOR N91-38-1-1

I.	TOTAL HISTORY POINTS	3
II.	TOTAL SERIOUSNESS POINTS	12
III.	TOTAL NEGLIGENCE POINTS	18
IV.	TOTAL GOOD FAITH POINTS	0
	TOTAL ASSESSED POINTS	33
	TOTAL ASSESSED FINE	$ 460.00

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