



GARY R. HERBERT
Governor

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Lieutenant Governor

State of Utah

DEPARTMENT OF NATURAL RESOURCES

MICHAEL R. STYLER
Executive Director

Division of Oil, Gas and Mining

JOHN R. BAZA
Division Director

March 4, 2016

TO: Internal File

FROM: Daron R. Haddock, Permit Supervisor 

RE: Compliance Review for Section 510 (c) Findings

As of the writing of this memo, there are no NOV'S or CO's which are not corrected or in the process of being corrected. There are no finalized Civil Penalties, which are outstanding and overdue in the name of Plateau Mining Corporation. Plateau Mining Corporation does not demonstrate a pattern of willful violations, nor have they been subject to any bond forfeitures for any operation in the state of Utah.

The recommendation from the Applicant Violator System (AVS) denotes that all connected entities either do not have any civil penalties or are under a settlement agreement (attached).

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Application Evaluation

Application Number	C0070038 SEQ:4
Applicant Name	147847 Plateau Mining Corp
Date Of Narrative	3/4/2016 11:01:37 AM
Requestor	suzanne.steab

CAUTION: The Applicant/Violator System (AVS) is an informational database. Permit eligibility determinations are made by the regulatory authority with jurisdiction over the permit application not by the AVS. Results which display outstanding violations may not include critical information about settlements or other conditions that affect permit eligibility. Consult the AVS Office at 800-643-9748 for verification of information prior to making decisions on these results.

3 Violations Found.

1: State Notice Of Violation	<u>153081</u>	PA	Permit:11040701	Conditional	7/22/2015
Violator 1:	153516 AMFIRE Mining Company LLC				
2: State Notice Of Violation	<u>151030</u>	PA	Permit:30753712	Conditional	7/9/2015
Violator 1:	155226 Emerald Coal Resources Lp				
3: State Notice Of Violation	<u>156002</u>	PA	Permit:30841307	Conditional	9/17/2015
Violator 1:	155226 Emerald Coal Resources Lp				

Evaluation OFT

Entities: 23

249455 Alpha Natural Resources Inc (New Alpha) - ()
 ---110318 Joel Richards III - (Director)
 ---125703 E Linn Draper Jr - (Director)
 ---131354 L Patrick Hassey - (Director)
 ---133477 Kevin S Crutchfield - (Chairman of the Board)
 ---133477 Kevin S Crutchfield - (Chief Executive Officer)
 ---133477 Kevin S Crutchfield - (Director)
 ---140061 Deborah M Fretz - (Director)
 ---155646 William J Crowley Jr - (Director)
 ---157755 P Michael Giftos - (Director)
 ---247055 Gary W Banbury - (Executive Vice President)
 ---249094 Phillip J Cavatoni - (Corporate Officer)
 ---249094 Phillip J Cavatoni - (Executive Vice President)
 ---249094 Phillip J Cavatoni - (Treasurer)
 ---249352 Alan W Jones Jr - (Controller)
 ---249352 Alan W Jones Jr - (Corporate Officer)
 ---249352 Alan W Jones Jr - (Senior Vice President)
 ---249466 Alpha American Coal Holding LLC - (Subsidiary Company)
 -----133477 Kevin S Crutchfield - (Manager)
 -----133477 Kevin S Crutchfield - (President)
 -----249094 Phillip J Cavatoni - (Treasurer)
 -----249094 Phillip J Cavatoni - (Vice President)
 -----249467 Alpha American Coal Company LLC - (Subsidiary Company)
 -----133477 Kevin S Crutchfield - (Manager)
 -----133477 Kevin S Crutchfield - (President)
 -----147847 Plateau Mining Corp - (Subsidiary Company)
 -----042556 Oren Eugene Kitts - (Director)
 -----158071 Richard H Verheij - (Secretary)
 -----158071 Richard H Verheij - (Vice President)
 -----249094 Phillip J Cavatoni - (Treasurer)
 -----249094 Phillip J Cavatoni - (Vice President)
 -----253215 William L Phillips III - (Assistant Secretary)
 -----253215 William L Phillips III - (Vice President)
 -----253746 J Todd Munsey - (Assistant Treasurer)
 -----254591 J Scott Kreutzer - (Director)
 -----254591 J Scott Kreutzer - (President)
 -----255618 David N Shockley - (Assistant Treasurer)
 -----249094 Phillip J Cavatoni - (Treasurer)
 -----249094 Phillip J Cavatoni - (Vice President)
 -----256494 Mark M Manno - (Secretary)

-----256494 Mark M Manno - (Vice President)
-----256494 Mark M Manno - (Secretary)
-----256494 Mark M Manno - (Vice President)
---252169 Bruce A Hartshorn - (Senior Vice President)
---253215 William L Phillips III - (Assistant Secretary)
---253528 Victor K Hainer - (Executive Vice President)
---256494 Mark M Manno - (Corporate Officer)
---256494 Mark M Manno - (Executive Vice President)
---256494 Mark M Manno - (General Counsel)
---256494 Mark M Manno - (Secretary)

Narrative 3/4/2016 All violations are coded "Conditional," indicating a Settlement, Payment Plan, or Pending Challenge. Linking entities are Richard H. Verheij, etc. DLH