

**Bronco Utah Operations LLC**  
PO Box 527  
Emery Utah, 84522  
435-286-2027

February 17, 2020

Mr. Steve Christensen  
Utah Division of Oil, Gas and Mining  
Coal Program  
1594 West North Temple, Suite 1210  
Box 145801  
Salt Lake City, UT 84114-5801

**RE: Bronco Utah Operations LLC  
Hidden Valley Mine  
DOGM Permit No. C/015/007  
Hidden Valley O&C Update**

Mr. Christensen:

Please consider this an update to the Ownership and Control to the above-mentioned permit, which includes an executed C1 form, C2 form, and revised pages.

If you have any questions concerning this request, please contact Kit Pappas at 435-286-2027.

Sincerely,



Kit Pappas  
Environmental Manager

Attachments    Application for Coal Permit Processing

# APPLICATION FOR COAL PERMIT PROCESSING

Permit Change  New Permit  Renewal  Exploration  Bond Release  Transfer

**Permittee:** Bronco Utah Operations LLC (BUOLLC)

**Mine:** Hidden Valley Mine **Permit Number:** 015/007

**Title:** Hidden Valley O&C Update

**Description,** Include reason for application and timing required to implement:  
Hidden Valley O&C Update 02/20

**Instructions:** If you answer yes to any of the first eight (gray) questions, this application may require Public Notice publication.

- Yes  No 1. Change in the size of the Permit Area? Acres: \_\_\_\_\_ Disturbed Area: \_\_\_\_\_  increase  decrease.
- Yes  No 2. Is the application submitted as a result of a Division Order? DO# \_\_\_\_\_
- Yes  No 3. Does the application include operations outside a previously identified Cumulative Hydrologic Impact Area?
- Yes  No 4. Does the application include operations in hydrologic basins other than as currently approved?
- Yes  No 5. Does the application result from cancellation, reduction or increase of insurance or reclamation bond?
- Yes  No 6. Does the application require or include public notice publication?
- Yes  No 7. Does the application require or include ownership, control, right-of-entry, or compliance information?
- Yes  No 8. Is proposed activity within 100 feet of a public road or cemetery or 300 feet of an occupied dwelling?
- Yes  No 9. Is the application submitted as a result of a Violation? NOV # \_\_\_\_\_
- Yes  No 10. Is the application submitted as a result of other laws or regulations or policies?

*Explain:* \_\_\_\_\_

- Yes  No 11. Does the application affect the surface landowner or change the post mining land use?
- Yes  No 12. Does the application require or include underground design or mine sequence and timing? (Modification of R2P2)
- Yes  No 13. Does the application require or include collection and reporting of any baseline information?
- Yes  No 14. Could the application have any effect on wildlife or vegetation outside the current disturbed area?
- Yes  No 15. Does the application require or include soil removal, storage or placement?
- Yes  No 16. Does the application require or include vegetation monitoring, removal or revegetation activities?
- Yes  No 17. Does the application require or include construction, modification, or removal of surface facilities?
- Yes  No 18. Does the application require or include water monitoring, sediment or drainage control measures?
- Yes  No 19. Does the application require or include certified designs, maps or calculation?
- Yes  No 20. Does the application require or include subsidence control or monitoring?
- Yes  No 21. Have reclamation costs for bonding been provided?
- Yes  No 22. Does the application involve a perennial stream, a stream buffer zone or discharges to a stream?
- Yes  No 23. Does the application affect permits issued by other agencies or permits issued to other entities?

**Please attach four (4) review copies of the application. If the mine is on or adjacent to Forest Service land please submit five (5) copies, thank you.** (These numbers include a copy for the Price Field Office)

I hereby certify that I am a responsible official of the applicant and that the information contained in this application is true and correct to the best of my information and belief and all respects with the laws of Utah in reference to commitments, undertakings, and obligations, herein.

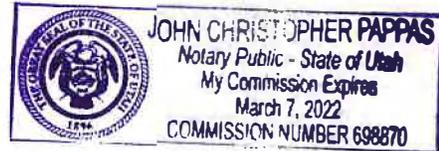
Brian Fredrickson  
 Print Name

Brian Fredrickson President/CEO 2/17/20  
 Sign Name, Position, Date

Subscribed and sworn to before me this 17 day of February, 2020

John Christopher Pappas  
 Notary Public

My commission Expires: MARCH 7, 2022 } ss:  
 Attest: State of UTAH }  
 County of CARBON



<b>For Office Use Only:</b>	<b>Assigned Tracking Number:</b>	<b>Received by Oil, Gas &amp; Mining</b>
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## **CHAPTER I, APPENDIX I-1**

### **Ownership and Control**

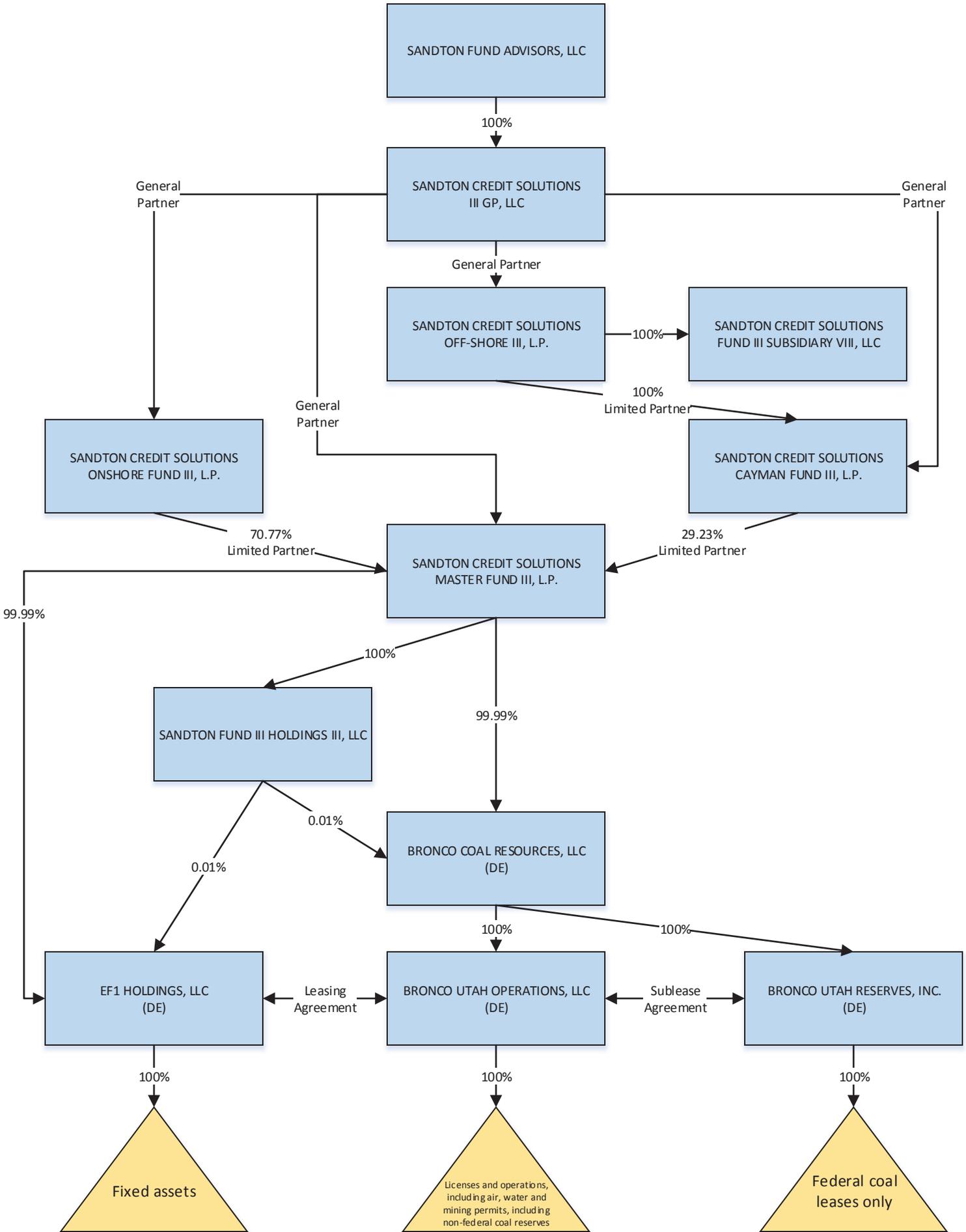
## **Bronco Ownership and Control Explanatory**

The Bronco Utah Operations, LLC Ownership Chart sets forth the general ownership structure above Bronco Utah Operations, LLC. As set forth in the chart, Bronco Utah Operations is wholly owned and controlled by Bronco Coal Resources, LLC, which is in turn 99.99% owned and controlled by Sandton Credit Solutions Master Fund III, L.P. Sandton Credit Solutions Master Fund III, L.P. is completely controlled by its general partner, Sandton Credit Solutions III GP, LLC. Additional entities controlled by Sandton Credit Solutions III GP, LLC as general partner are included in the Ownership Chart for reference only to provide context to the Division of related entities controlled by the same general partner.

Please note that, for limited partnership entities above Sandton Credit Solutions Master Fund III, L.P. in the Bronco Utah Operations, LLC organizational chain, limited partnership interests do not imply control. Specifically, control over the business and operations of a limited partnership entity like Sandton Credit Solutions Master Fund III, L.P. is primarily—if not entirely—vested in the general partner. Even though the entity's limited partners may have the right to receive distributions from the entity, the limited partners nevertheless have no control over the limited partnership's activities unless such control is granted to them in the entity's limited partnership agreement. In the case of the limited partnerships above Bronco Utah Operations, LLC in the Ownership Chart, no such control is given to the limited partners; control over the business and affairs of each limited partnership (i.e., Sandton Credit Solutions Off-Shore III, L.P.; Sandton Credit Solutions Cayman Fund III, L.P.; Sandton Credit Solutions Onshore Fund III, L.P.; and Sandton Credit Solutions Master Fund III, L.P.) is vested completely in Sandton Credit Solutions III GP, LLC as general partner of each limited partnership.

# BRONCO COAL RESOURCES, LLC

## Ownership Chart



# Ownership and Control Report

**Company** Bronco Utah Operations LLC

**FEIN:** 81-0698226

<b>Relationship</b>	Officer
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NAME	TITLE	ADDRESS CITY STATE ZIP	PHONE NO	OWNERSHIP %	START DATE END DATE
Daniel R. Baker	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	December 16, 2015 May 7, 2018
Gary Takenaka	Chief Operating Officer/Secretary/Treasurer	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	December 16, 2015 May 18, 2018
David Petty	Chief Financial Officer	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	December 16, 2015 August 3, 2017
Bronco Coal Resources, LLC	Owner	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	100%	N/A

\*No individuals own a 10% or greater interest in Bronco Utah Operations LLC.

Bart Hyita	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	April 17, 2018 April 1, 2019
Harold Cunningham	Treasurer/Secretary/Controller	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	May 18, 2018 <a href="#">September 20, 2019</a>
Rick Parkins	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	April 1, 2019 <a href="#">January 17, 2020</a>
<a href="#">Brian R. Fredrickson</a>	<a href="#">Chief Executive Officer/President</a>	<a href="#">Emery Mine, P.O. Box 527 Emery, UT 84522</a>	<a href="#">435-286-2447</a>	<a href="#">zero</a>	<a href="#">January 17, 2020</a>
<a href="#">Daniel K. Lake</a>	<a href="#">Secretary/Controller</a>	<a href="#">Emery Mine, P.O. Box 527 Emery, UT 84522</a>	<a href="#">435-286-2447</a>	<a href="#">zero</a>	<a href="#">January 17, 2020</a>

# Ownership and Control Report

**Company** Bronco Utah Reserves Inc.

**FEIN:** 81-0692203

<b>Relationship</b>	Officer
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NAME	TITLE	ADDRESS CITY STATE ZIP	PHONE NO	OWNERSHIP %	START DATE END DATE
Daniel R. Baker	Chief Operating Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	December 16, 2015 May 7, 2018
Gary Takenaka	Chief Operating Officer/Secretary/Treasurer	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	December 16, 2015 May 18, 2018
David Petty	Chief Financial Officer	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	December 16, 2015 August 3, 2017
Bronco Coal Resources, LLC	Owner	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	100%	N/A

\*No individuals own a 10% or greater interest in Bronco Utah Reserves Inc.

Bart Hyita	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	April 17, 2018 April 1, 2019
Harold Cunningham	Treasurer/Secretary/Controller	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	May 18, 2018 <a href="#">September 20, 2019</a>
Rick Parkins	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	April 1, 2019 <a href="#">January 17, 2020</a>
<a href="#">Brian R. Fredrickson</a>	<a href="#">Chief Executive Officer/President</a>	<a href="#">Emery Mine, P.O. Box 527</a> <a href="#">Emery, UT 84522</a>	<a href="#">435-286-2447</a>	<a href="#">zero</a>	<a href="#">January 17, 2020</a>
<a href="#">Daniel K. Lake</a>	<a href="#">Secretary/Controller</a>	<a href="#">Emery Mine, P.O. Box 527</a> <a href="#">Emery, UT 84522</a>	<a href="#">435-286-2447</a>	<a href="#">zero</a>	<a href="#">January 17, 2020</a>



# Ownership and Control Report

**Company** Bronco Coal Resources LLC

**FEIN:** 47-5158804

<b>Relationship</b>	Officer
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NAME	TITLE	ADDRESS CITY STATE ZIP	PHONE NO	OWNERSHIP %	START DATE END DATE
Daniel R. Baker	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	December 16, 2015 May 7, 2018
Gary Takenaka	Chief Operating Officer/Secretary/Treasurer	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	December 16, 2015 May 18, 2018
David Petty	Chief Financial Officer	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	December 16, 2015 August 3, 2017
Sandton Credit Solutions Master Fund III, L.P.	Owner	25 West 45th Street New York, NY 10036	435-286-2447	99.99%	N/A
Sandton Fund III Holdings III, L.P.	Owner	25 West 45th Street New York, NY 10036	435-286-2447	0.01%	N/A
*No individuals own a 10% or greater interest in Bronco Coal Resources LLC.					
Bart Hyita	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	April 17, 2018 April 1, 2019
Harold Cunningham	Treasurer/Secretary/Contoller	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	May 18, 2018 <a href="#">September 20, 2019</a>
Rick Parkins	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	April 1, 2019 <a href="#">January 17, 2020</a>
<a href="#">Brian R. Fredrickson</a>	<a href="#">Chief Executive Officer/President</a>	<a href="#">Emery Mine, P.O. Box 527 Emery, UT 84522</a>	<a href="#">435-286-2447</a>	<a href="#">zero</a>	<a href="#">January 17, 2020</a>
<a href="#">Daniel K. Lake</a>	<a href="#">Secretary/Contoller</a>	<a href="#">Emery Mine, P.O. Box 527 Emery, UT 84522</a>	<a href="#">435-286-2447</a>	<a href="#">zero</a>	<a href="#">January 17, 2020</a>

# Ownership and Control Report

**Company** Sandton Credit Solutions Master Fund III, L.P. **FEIN:** 98-1113530

**25 West 45th Street, New York, NY 10036**

<b>Relationship</b>	Authorized Person
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NAME	TITLE	ADDRESS CITY STATE ZIP	PHONE NO	OWNERSHIP %	START DATE END DATE
Rael Nurick	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036	212-444-7200	zero	August 9, 2010
Thomas Wood	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036	212-444-7200	zero	August 9, 2010
Sandton Credit Solutions Onshore Fund III, L.P.	Limited Partner	25 West 45th Street New York, NY 10036	212-444-7200	70.77% Limited Partner Interest	N/A
Sandton Credit Solutions Cayman Fund III, L.P.	Limited Partner	25 West 45th Street New York, NY 10036	212-444-7200	29.23% Limited Partner Interest	N/A
Sandton Credit Solutions III GP, LLC	General Partner	25 West 45th Street New York, NY 10036	212-444-7200	100% Control Interest (General Partner)	N/A

\*No individuals own a 10% or greater control interest in Sandton Credit Solutions Master Fund III, L.P.



# Ownership and Control Report

**Company** Sandton Credit Solutions Onshore Fund III, L.P. **FEIN:** 32-0413100

**25 West 45th Street, New York, NY 10036**

<b>Relationship</b>	Authorized Person
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NAME	TITLE	ADDRESS CITY STATE ZIP	PHONE NO	OWNERSHIP %	START DATE END DATE
Rael Nurick	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036	212-444-7200	zero	August 9, 2010
Thomas Wood	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036	212-444-7200	zero	August 9, 2010
Sandton Credit Solutions III GP, LLC	General Partner	25 West 45th Street New York, NY 10036	212-444-7200	100% Control Interest (General Partner)	N/A

\*No individuals own a 10% or greater control interest in Sandton Credit Solutions Onshore Fund III, L.P.

# Ownership and Control Report

**Company** Sandton Credit Solutions Cayman Fund III, L.P. **FEIN:** 98-1114113

**25 West 45th Street, New York, NY 10036**

<b>Relationship</b>	Authorized Person
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NAME	TITLE	ADDRESS CITY STATE ZIP	PHONE NO	OWNERSHIP %	START DATE END DATE
Rael Nurick	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036	212-444-7200	zero	August 9, 2010
Thomas Wood	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036	212-444-7200	zero	August 9, 2010
Sandton Credit Solutions Off-Shore III, L.P.	Limited Partner	25 West 45th Street New York, NY 10036	212-444-7200	100% Limited Partner Interest	N/A
Sandton Credit Solutions III GP, LLC	General Partner	25 West 45th Street New York, NY 10036	212-444-7200	100% Control Interest (General Partner)	N/A

\*No individuals own a 10% or greater control interest in Sandton Credit Solutions Cayman Fund III, L.P.

# Ownership and Control Report

**Company**    Sandton Credit Solutions Fund III Subsidiary VIII, LLC    **FEIN:** 47-5678847    **25 West 45th Street, New York, NY 10036**

<b>Relationship</b>	Authorized Person
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NAME	TITLE	ADDRESS CITY            STATE ZIP	PHONE NO	OWNERSHIP %	START DATE END DATE
Rael Nurick	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036	212-444-7200	zero	August 9, 2010
Thomas Wood	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036	212-444-7200	zero	August 9, 2010
Sandton Credit Solutions Off-Shore III, L.P.	Owner	25 West 45th Street New York, NY 10036	212-444-7200	100%	N/A

\*No individuals own a 10% or greater interest in Sandton Credit Solutions Fund III Subsidiary VIII, LLC.

# Ownership and Control Report

**Company** Sandton Credit Solutions Off-Shore III, L.P. **FEIN:** 98-1113555

**25 West 45th Street, New York, NY 10036**

<b>Relationship</b>	Authorized Person
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NAME	TITLE	ADDRESS CITY STATE ZIP	PHONE NO	OWNERSHIP %	START DATE END DATE
Rael Nurick	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036	212-444-7200	zero	August 9, 2010
Thomas Wood	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036	212-444-7200	zero	August 9, 2010
Sandton Credit Solutions III GP, LLC	General Partner	25 West 45th Street New York, NY 10036	212-444-7200	100% Control Interest (General Partner)	N/A

\*No individuals own a 10% or greater control interest in Sandton Credit Solutions Off-Shore III, L.P.



