

#6072  
clean  
copies

**Bronco Utah Operations LLC**  
PO Box 527  
Emery Utah, 84522  
435-286-2027

February 17, 2020

C/015/0007  
Received 3/10/20  
Task #6072  
Clean Copies

Mr. Steve Christensen  
Utah Division of Oil, Gas and Mining  
Coal Program  
1594 West North Temple, Suite 1210  
Box 145801  
Salt Lake City, UT 84114-5801

**RE: Bronco Utah Operations LLC  
Hidden Valley Mine  
DOGM Permit No. C/015/007  
Hidden Valley O&C Update**

Mr. Christensen:

Please consider this an update to the Ownership and Control to the above-mentioned permit, which includes an executed C1 form, C2 form, and revised pages.

If you have any questions concerning this request, please contact Kit Pappas at 435-286-2027.

Sincerely,



Kit Pappas  
Environmental Manager

Attachments    Application for Coal Permit Processing

## APPLICATION FOR COAL PERMIT PROCESSING

Permit Change  New Permit  Renewal  Exploration  Bond Release  Transfer

**Permittee:** Bronco Utah Operations LLC (BUOLLC)

**Mine:** Hidden Valley Mine

**Permit Number:** 015/007

**Title:** Hidden Valley O&C Update

**Description, include reason for application and timing required to implement:**

Hidden Valley O&C Update

02/20

**Instructions:** If you answer yes to any of the first eight (gray) questions, this application may require Public Notice publication.

- |   |   |
|---|---|
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 1. Change in the size of the Permit Area? Acres: _____ Disturbed Area: _____ <input type="checkbox"/> increase <input type="checkbox"/> decrease. |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 2. Is the application submitted as a result of a Division Order? DO# _____  |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 3. Does the application include operations outside a previously identified Cumulative Hydrologic Impact Area?                                     |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 4. Does the application include operations in hydrologic basins other than as currently approved?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 5. Does the application result from cancellation, reduction or increase of insurance or reclamation bond?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 6. Does the application require or include public notice publication?   |
| <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | 7. Does the application require or include ownership, control, right-of-entry, or compliance information?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 8. Is proposed activity within 100 feet of a public road or cemetery or 300 feet of an occupied dwelling?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 9. Is the application submitted as a result of a Violation? NOV # _____   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 10. Is the application submitted as a result of other laws or regulations or policies?<br><i>Explain:</i> _____                                   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 11. Does the application affect the surface landowner or change the post mining land use?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 12. Does the application require or include underground design or mine sequence and timing? (Modification of R2P2)                                |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 13. Does the application require or include collection and reporting of any baseline information?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 14. Could the application have any effect on wildlife or vegetation outside the current disturbed area?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 15. Does the application require or include soil removal, storage or placement?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 16. Does the application require or include vegetation monitoring, removal or revegetation activities?  |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 17. Does the application require or include construction, modification, or removal of surface facilities?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 18. Does the application require or include water monitoring, sediment or drainage control measures?  |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 19. Does the application require or include certified designs, maps or calculation?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 20. Does the application require or include subsidence control or monitoring?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 21. Have reclamation costs for bonding been provided?   |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 22. Does the application involve a perennial stream, a stream buffer zone or discharges to a stream?  |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 23. Does the application affect permits issued by other agencies or permits issued to other entities?   |

**Please attach four (4) review copies of the application. If the mine is on or adjacent to Forest Service land please submit five (5) copies, thank you.** (These numbers include a copy for the Price Field Office)

I hereby certify that I am a responsible official of the applicant and that the information contained in this application is true and correct to the best of my information and belief and all respects with the laws of Utah in reference to commitments, undertakings, and obligations, herein.

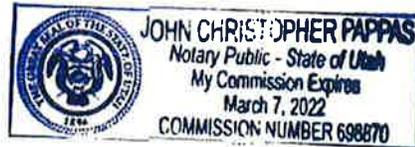
Brian Fredrickson  
Print Name

Brian Fredrickson President/CEO 2/17/20  
Sign Name, Position, Date

Subscribed and sworn to before me this 17 day of February, 2020

John Pappas  
Notary Public

My commission Expires: MARCH 7, 2022 ) ss:  
Attest: State of UTAH  
County of CARBON



<p><b>For Office Use Only:</b></p>	<p><b>Assigned Tracking Number:</b></p>	<p><b>Received by Oil, Gas &amp; Mining</b></p>
------------------------------------	---	---



## Bronco Ownership and Control Explanatory

The Bronco Utah Operations, LLC Ownership Chart sets forth the general ownership structure above Bronco Utah Operations, LLC. As set forth in the chart, Bronco Utah Operations is wholly owned and controlled by Bronco Coal Resources, LLC, which is in turn 99.99% owned and controlled by Sandton Credit Solutions Master Fund III, L.P. Sandton Credit Solutions Master Fund III, L.P. is completely controlled by its general partner, Sandton Credit Solutions III GP, LLC. Additional entities controlled by Sandton Credit Solutions III GP, LLC as general partner are included in the Ownership Chart for reference only to provide context to the Division of related entities controlled by the same general partner.

Please note that, for limited partnership entities above Sandton Credit Solutions Master Fund III, L.P. in the Bronco Utah Operations, LLC organizational chain, limited partnership interests do not imply control. Specifically, control over the business and operations of a limited partnership entity like Sandton Credit Solutions Master Fund III, L.P. is primarily—if not entirely—vested in the general partner. Even though the entity's limited partners may have the right to receive distributions from the entity, the limited partners nevertheless have no control over the limited partnership's activities unless such control is granted to them in the entity's limited partnership agreement. In the case of the limited partnerships above Bronco Utah Operations, LLC in the Ownership Chart, no such control is given to the limited partners; control over the business and affairs of each limited partnership (i.e., Sandton Credit Solutions Off-Shore III, L.P.; Sandton Credit Solutions Cayman Fund III, L.P.; Sandton Credit Solutions Onshore Fund III, L.P.; and Sandton Credit Solutions Master Fund III, L.P.) is vested completely in Sandton Credit Solutions III GP, LLC as general partner of each limited partnership.

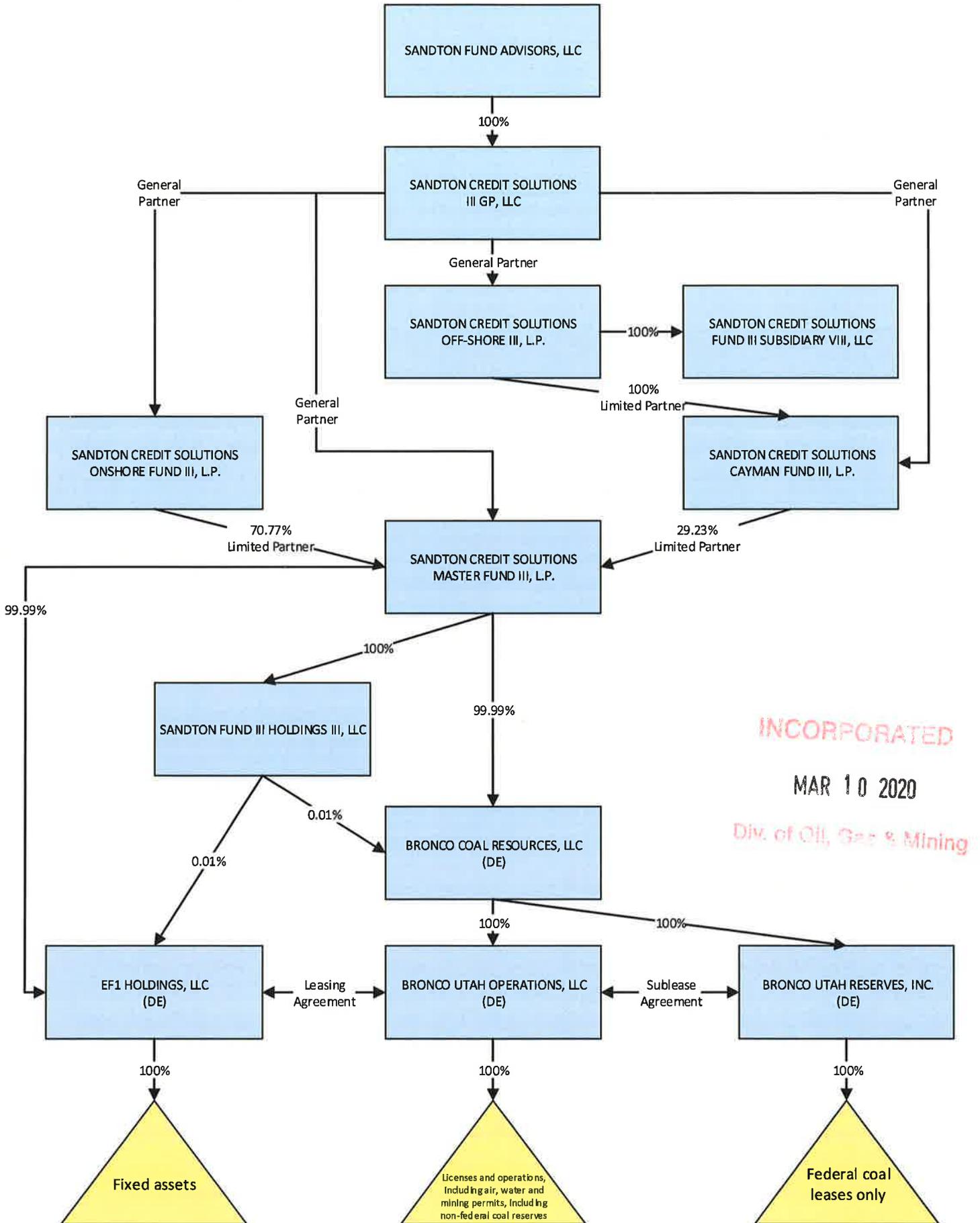
INCORPORATED

MAR 10 2020

Div. of Oil, Gas & Mining

# BRONCO COAL RESOURCES, LLC

## Ownership Chart



## Ownership and Control Report

**Company** Bronco Utah Operations LLC

**FEIN:** 81-0698226

**Relationship** Officer

NAME	TITLE	ADDRESS CITY STATE ZIP	PHONE NO	OWNERSHIP %	START DATE END DATE
Daniel R. Baker	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	December 16, 2015 May 7, 2018
Gary Takenaka	Chief Operating Officer/Secretary/Treasurer	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	December 16, 2015 May 18, 2018
David Petty	Chief Financial Officer	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	December 16, 2015 August 3, 2017
Bronco Coal Resources, LLC	Owner	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	100%	N/A

\*No individuals own a 10% or greater interest in Bronco Utah Operations LLC.

Bart Hyita	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	April 17, 2018 April 1, 2019
Harold Cunningham	Treasurer/Secretary/Controller	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	May 18, 2018 September 20, 2019
Rick Parkins	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	April 1, 2019 January 17, 2020
Brian R. Fredrickson	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	January 17, 2020
Daniel K. Lake	Secretary/Controller	Emery Mine, P.O. Box 527 Emery, UT 84522	435-286-2447	zero	January 17, 2020

Date: 2/17/2020

Page 1 of 1

INCORPORATED

MAR 10 2020

Div. of Oil, Gas & Mining

## Ownership and Control Report

**Company** Bronco Utah Reserves Inc.

**FEIN:** 81-0692203

**Relationship** Officer

NAME	TITLE	ADDRESS			PHONE NO	OWNERSHIP %	START DATE	
		CITY	STATE	ZIP			END DATE	
Daniel R. Baker	Chief Operating Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522			435-286-2447	zero	December 16, 2015	May 7, 2018
Gary Takenaka	Chief Operating Officer/Secretary/Treasurer	Emery Mine, P.O. Box 527 Emery, UT 84522			435-286-2447	zero	December 16, 2015	May 18, 2018
David Petty	Chief Financial Officer	Emery Mine, P.O. Box 527 Emery, UT 84522			435-286-2447	zero	December 16, 2015	August 3, 2017
Bronco Coal Resources, LLC	Owner	Emery Mine, P.O. Box 527 Emery, UT 84522			435-286-2447	100%	N/A	
*No individuals own a 10% or greater interest in Bronco Utah Reserves Inc.								
Bart Hyita	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522			435-286-2447	zero	April 17, 2018	April 1, 2019
Harold Cunningham	Treasurer/Secretary/Controller	Emery Mine, P.O. Box 527 Emery, UT 84522			435-286-2447	zero	May 18, 2018	September 20, 2019
Rick Parkins	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522			435-286-2447	zero	April 1, 2019	January 17, 2020
Brian R. Fredrickson	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522			435-286-2447	zero	January 17, 2020	
Daniel K. Lake	Secretary/Controller	Emery Mine, P.O. Box 527 Emery, UT 84522			435-286-2447	zero	January 17, 2020	

INCORPORATED  
 MAR 10 2020  
 Div. of Oil, Gas & Mining

## Ownership and Control Report

**Company** EF1 Holdings, LLC

**FEIN:** 81-0815107

**Relationship**

NAME	TITLE	ADDRESS			PHONE NO	OWNERSHIP %	START DATE	
		CITY	STATE	ZIP			END DATE	
Jordan Levy	Manager	25 West 45th Street		New York, NY 10036	212-444-7200	zero	December 16, 2015	
Rael Nurick	Manager	25 West 45th Street		New York, NY 10036	212-444-7200	zero	December 16, 2015	
Thomas Wood	Manager	25 West 45th Street		New York, NY 10036	212-444-7200	zero	December 16, 2015	
Dimitri Korvyakov	Manager	25 West 45th Street		New York, NY 10036	212-444-7200	zero	December 16, 2015	
Sandton Credit Solutions Master Fund III, L.P.	Owner	25 West 45th Street		New York, NY 10036	212-444-7200	99.99%	N/A	
Sandton Fund III Holdings III, L.P.	Owner	25 West 45th Street		New York, NY 10036	212-444-7200	0.01%	N/A	

\*No individuals own a 10% or greater interest in EF1 Holdings, LLC.

INCORPORATED  
 MAR 10 2020  
 Div. of Oil, Gas & Mining

## Ownership and Control Report

**Company** Bronco Coal Resources LLC

**FEIN:** 47-5158804

**Relationship** Officer

NAME	TITLE	ADDRESS		PHONE NO	OWNERSHIP %	START DATE	
		CITY	STATE ZIP			END DATE	
Daniel R. Baker	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522		435-286-2447	zero	December 16, 2015	May 7, 2018
Gary Takenaka	Chief Operating Officer/Secretary/Treasurer	Emery Mine, P.O. Box 527 Emery, UT 84522		435-286-2447	zero	December 16, 2015	May 18, 2018
David Petty	Chief Financial Officer	Emery Mine, P.O. Box 527 Emery, UT 84522		435-286-2447	zero	December 16, 2015	August 3, 2017
Sandton Credit Solutions Master Fund III, L.P.	Owner	25 West 45th Street New York, NY 10036		435-286-2447	99.99%	N/A	
Sandton Fund III Holdings III, L.P.	Owner	25 West 45th Street New York, NY 10036		435-286-2447	0.01%	N/A	
*No individuals own a 10% or greater interest in Bronco Coal Resources LLC.							
Bart Hyita	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522		435-286-2447	zero	April 17, 2018	April 1, 2019
Harold Cunningham	Treasurer/Secretary/Controller	Emery Mine, P.O. Box 527 Emery, UT 84522		435-286-2447	zero	May 18, 2018	September 20, 2019
Rick Parkins	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522		435-286-2447	zero	April 1, 2019	January 17, 2020
Brian R. Fredrickson	Chief Executive Officer/President	Emery Mine, P.O. Box 527 Emery, UT 84522		435-286-2447	zero	January 17, 2020	
Daniel K. Lake	Secretary/Controller	Emery Mine, P.O. Box 527 Emery, UT 84522		435-286-2447	zero	January 17, 2020	

Date: 2/17/2020

Page 1 of 1

INCORPORATED

MAR 10 2020

Div. of Oil, Gas & Mining

## Ownership and Control Report

**Company** Sandton Credit Solutions Master Fund III, L.P. FEIN: 98-1113530

**25 West 45th Street, New York, NY 10036**

**Relationship** Authorized Person

NAME	TITLE	ADDRESS			PHONE NO	OWNERSHIP %	START DATE	
		CITY	STATE	ZIP			END DATE	
Rael Nurick	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street			212-444-7200	zero	August 9, 2010	
		New York, NY 10036						
Thomas Wood	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street			212-444-7200	zero	August 9, 2010	
		New York, NY 10036						
Sandton Credit Solutions Onshore Fund III, L.P.	Limited Partner	25 West 45th Street			212-444-7200	70.77% Limited Partner Interest	N/A	
		New York, NY 10036						
Sandton Credit Solutions Cayman Fund III, L.P.	Limited Partner	25 West 45th Street			212-444-7200	29.23% Limited Partner Interest	N/A	
		New York, NY 10036						
Sandton Credit Solutions III GP, LLC	General Partner	25 West 45th Street			212-444-7200	100% Control Interest (General Partner)	N/A	
		New York, NY 10036						

\*No individuals own a 10% or greater control interest in Sandton Credit Solutions Master Fund III, L.P.

INCORPORATED

MAR 10 2020

Div. of Oil, Gas & Mining

# Ownership and Control Report

**Company** Sandton Fund III Holdings III, LLC      **FEIN:** 47-5678725      **25 West 45th Street, New York, NY 10036**

**Relationship** Authorized Person

NAME	TITLE	ADDRESS			PHONE NO	OWNERSHIP %	START DATE	
		CITY	STATE	ZIP			END DATE	
Rael Nurick	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036			212-444-7200	zero	August 9, 2010	
Thomas Wood	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036			212-444-7200	zero	August 9, 2010	
Sandton Credit Solutions Master Fund III, L.P.	Owner	25 West 45th Street New York, NY 10036			212-444-7200	100%	N/A	

\*No individuals own a 10% or greater interest in Sandton Fund III Holdings III, LLC.

INCORPORATED

MAR 10 2020

Div of Oil, Gas & Mining



# Ownership and Control Report

**Company** Sandton Credit Solutions Cayman Fund III, L.P. FEIN: 98-1114113

25 West 45th Street, New York, NY 10036

**Relationship** Authorized Person

NAME	TITLE	ADDRESS		PHONE NO	OWNERSHIP %	START DATE	
		CITY	STATE ZIP			END DATE	
Rael Nurick	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street	New York, NY 10036	212-444-7200	zero	August 9, 2010	
Thomas Wood	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street	New York, NY 10036	212-444-7200	zero	August 9, 2010	
Sandton Credit Solutions Off-Shore III, L.P.	Limited Partner	25 West 45th Street	New York, NY 10036	212-444-7200	100% Limited Partner Interest	N/A	
Sandton Credit Solutions III GP, LLC	General Partner	25 West 45th Street	New York, NY 10036	212-444-7200	100% Control Interest (General Partner)	N/A	

\*No individuals own a 10% or greater control interest in Sandton Credit Solutions Cayman Fund III, L.P.

INCORPORATED

MAR 10 2020

Div. of Off. Serv. & Mailing

# Ownership and Control Report

**Company** Sandton Credit Solutions Fund III Subsidiary VIII, LLC FEIN: 47-5678847 25 West 45th Street, New York, NY 10036

**Relationship** Authorized Person

NAME	TITLE	ADDRESS			PHONE NO	OWNERSHIP %	START DATE	
		CITY	STATE	ZIP			END DATE	
Rael Nurick	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036			212-444-7200	zero	August 9, 2010	
Thomas Wood	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036			212-444-7200	zero	August 9, 2010	
Sandton Credit Solutions Off-Shore III, L.P.	Owner	25 West 45th Street New York, NY 10036			212-444-7200	100%	N/A	

\*No individuals own a 10% or greater interest in Sandton Credit Solutions Fund III Subsidiary VIII, LLC.

INCORPORATED  
MAR 10 2020  
Div. of Oil, Gas & Mining

## Ownership and Control Report

**Company** Sandton Credit Solutions Off-Shore III, L.P. FEIN: 98-1113555

25 West 45th Street, New York, NY 10036

<b>Relationship</b>	Authorized Person
---------------------	-------------------

NAME	TITLE	ADDRESS CITY STATE ZIP	PHONE NO	OWNERSHIP %	START DATE END DATE
Rael Nurick	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036	212-444-7200	zero	August 9, 2010
Thomas Wood	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street New York, NY 10036	212-444-7200	zero	August 9, 2010
Sandton Credit Solutions III GP, LLC	General Partner	25 West 45th Street New York, NY 10036	212-444-7200	100% Control Interest (General Partner)	N/A

\*No individuals own a 10% or greater control interest in Sandton Credit Solutions Off-Shore III, L.P.

INCORPORATED

MAR 10 2020

Div. of Oil, Gas & Mining

---

## Ownership and Control Report

---

**Company** Sandton Credit Solutions III GP, LLC FEIN: 38-3910290

25 West 45th Street, New York, NY 10036

**Relationship** Authorized Person

NAME	TITLE	ADDRESS			PHONE NO	OWNERSHIP %	START DATE	
		CITY	STATE	ZIP			END DATE	
Rael Nurick	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street			212-444-7200	zero	August 9, 2010	
		New York, NY 10036						
Thomas Wood	Managing Member of Sandton Fund Advisors, LLC	25 West 45th Street			212-444-7200	zero	August 9, 2010	
		New York, NY 10036						
Sandton Fund Advisors, LLC	Owner	25 West 45th Street			212-444-7200	100%	N/A	
		New York, NY 10036						

\*No individuals own a 10% or greater interest in Sandton Credit Solutions III GP, LLC.

INCORPORATED

MAR 10 2020

Division of State & Local Government

