GARY R. HERBERT
Governor

SPENCER J. COX
Lieutenant Governor

State of Utah

DEPARTMENT OF NATURAL RESOURCES

MICHAEL R. STYLER
Executive Director

Division of Oil, Gas and Mining

JOHN R. BAZA
Division Director

February 19, 2014

TO: Internal File

FROM: Daron R. Haddock, Permit Supervisor

RE: Compliance Review for Section 510 (c) Findings, CONSOL Mining Company, LLC Permits

As of the writing of this memo, there are no NOVS or COs which are not corrected or in the process of being corrected. There are no finalized Civil Penalties, which are outstanding and overdue in the name of Consolidation Coal Company or CONSOL Mining Company, LLC. CONSOL Mining Company, LLC nor Consolidation Coal Company demonstrate a pattern of willful violations, or have they been subject to any bond forfeitures for any operation in the state of Utah.

The recommendation from the Applicant Violator System (AVS) denotes that all connected entities either do not have any civil penalties or are under a settlement agreement (attached).

O:\015015.EME\PERMIT\2014TRANSFER\510C.DOC

Application Evaluation

Application Number	C0150015 SEQ:6
Applicant Name	254801 CONSOL Mining Company LLC
Date Of Narrative	2/19/2014 9:57:34 AM
Requestor	suzanne.steab

CAUTION: The Applicant/Violator System (AVS) is an informational database. Permit eligibility determinations are made by the regulatory authority with jurisdiction over the permit application not by the AVS. Results which display outstanding violations may not include critical information about settlements or other conditions that affect permit eligibility. Consult the AVS Office at 800-643-9748 for verification of information prior to making decisions on these results.

18 Violations Found.

1: Bond Forfeiture	2985329	KY	**Permit:2985329**	**Outstanding**	**5/14/1987**
Violator 1:	078176 Adkins & Webb Inc				
2: State Civil Penalty	053004	KY	**Permit:2985329**	**Outstanding**	**3/27/1986**
Violator 1:	078176 Adkins & Webb Inc				
3: Bond Forfeiture	2985332	KY	**Permit:2985332**	**Outstanding**	**8/19/1988**
Violator 1:	108056 J & H Coal Co				
4: State Civil Penalty	053764	KY	**Permit:2985332**	**Outstanding**	**9/18/1987**
Violator 1:	108056 J & H Coal Co				
5: State Civil Penalty	054149	KY	**Permit:2985332**	**Outstanding**	**3/28/1988**
Violator 1:	108056 J & H Coal Co				
6: Bond Forfeiture	4985319	KY	**Permit:4985319**	**Outstanding**	**1/20/1989**
Violator 1:	034732 Price Coal Co				
7: State Civil Penalty	053671	KY	**Permit:4985319**	**Outstanding**	**5/22/1987**
Violator 1:	034732 Price Coal Co				
8: State Civil Penalty	054020	KY	**Permit:4985319**	**Outstanding**	**4/26/1988**
Violator 1:	034732 Price Coal Co				
9: State Civil Penalty	054058	KY	**Permit:4985319**	**Outstanding**	**11/20/1987**
Violator 1:	034732 Price Coal Co				
10: State Civil Penalty	054148	KY	**Permit:4985319**	**Outstanding**	**3/24/1988**
Violator 1:	034732 Price Coal Co				
11: State Civil Penalty	054323	KY	**Permit:4985319**	**Outstanding**	**6/15/1988**
Violator 1:	034732 Price Coal Co				
12: Bond Forfeiture	6985333	KY	**Permit:6985333**	**Outstanding**	**1/16/1990**
Violator 1:	108056 J & H Coal Co				
13: State Civil Penalty	053568	KY	**Permit:6985333**	**Outstanding**	**6/5/1987**
Violator 1:	108056 J & H Coal Co				
14: State Civil Penalty	053757	KY	**Permit:6985333**	**Outstanding**	**7/6/1987**
Violator 1:	108056 J & H Coal Co				
15: State Civil Penalty	053934	KY	**Permit:6985333**	**Outstanding**	**10/2/1987**
Violator 1:	108056 J & H Coal Co				
16: State Civil Penalty	054316	KY	**Permit:6985333**	**Outstanding**	**3/28/1988**
Violator 1:	108056 J & H Coal Co				
17: State Civil Penalty	054442	KY	**Permit:6985333**	**Outstanding**	**6/15/1988**
Violator 1:	108056 J & H Coal Co				
18: State Civil Penalty	504587	KY	**Permit:8985157**	**Outstanding**	**8/23/1988**
Violator 1:	007928 Past Coal Company Incorporated				

Evaluation OFT

Entities: 50

124819 Consol Energy Inc - ()
---118427 J Brett Harvey - (Chairman of the Board)
---118427 J Brett Harvey - (Chief Executive Officer)
---118427 J Brett Harvey - (Director)

---122200 Randall M Albert - (Chief Operations Officer)
---125002 Phillip W Baxter - (Director)
---128701 John T Mills - (Director)
---132175 John M Reilly - (Treasurer)
---132175 John M Reilly - (Vice President)
---133137 James J Mccaffrey - (Senior Vice President)
---134639 Barry M Miller - (Vice President)
---135906 Bart J Hyita - (Chief Operations Officer)
---146302 Raj K Gupta - (Director)
---146635 Joseph T Williams - (Director)
---152005 Nicholas J Deiuliis - (President)
---154540 James E Altmeyer Sr - (Director)
---154541 William E Davis - (Director)
---154543 William P Powell - (Director)
---154545 Jack K Richardson - (Vice President)
---156239 Lorraine L Ritter - (Controller)
---156239 Lorraine L Ritter - (Vice President)
---157830 David C Hardesty Jr - (Director)
---158031 Louis Barletta Jr - (Vice President)
---158087 Lu Ann Datesh - (Vice President)
---158110 Stephanie L Gill - (General Counsel)
---158110 Stephanie L Gill - (Secretary)
---158110 Stephanie L Gill - (Vice President)
---158150 James A Brock - (Chief Operations Officer)
---158931 George F Rosato - (Vice President)
---246813 James C Grech - (Corporate Officer)
---246813 James C Grech - (Executive Vice President)
---247137 Steven E Winberg - (Vice President)
---247139 Charles T Shaynak - (Senior Vice President)
---247471 Thomas O Johnson - (Vice President)
---248725 Stephen W Johnson - (Corporate Officer)
---248725 Stephen W Johnson - (Executive Vice President)
---248744 William D Gillenwater - (Vice President)
---248745 Darryl M Husenits - (Vice President)
---248746 M Charles Hardoby - (Vice President)
---248748 J Michael Onifer - (Senior Vice President)
---248777 David W Kelly - (Vice President)
---249573 Daniel Zajdel - (Vice President)
---250342 Richard K Elswick - (Vice President)
---250343 Christopher Powell - (Vice President)
---251249 Katharine A Fredriksen - (Senior Vice President)
---252387 Kurt R Salvatori - (Vice President)
---252640 Donald Rush - (Vice President)
---252912 David M Khani - (Chief Financial Officer)
---252912 David M Khani - (Executive Vice President)
---253847 Samuel McLaughlin - (Vice President)
---253848 Christopher T Marsh - (Vice President)
---254362 Alvin R Carpenter - (Director)
---254363 Maureen E Lally-Green - (Director)
---254802 CONSOL Mining Holding Company LLC - (Subsidiary Company)
------118427 J Brett Harvey - (Chairman of the Board)
------118427 J Brett Harvey - (Chief Executive Officer)
------135906 Bart J Hyita - (Chief Operations Officer)
------135906 Bart J Hyita - (President)
------154545 Jack K Richardson - (Vice President)
------156239 Lorraine L Ritter - (Assistant Secretary)
------156239 Lorraine L Ritter - (Controller)
------158087 Lu Ann Datesh - (Vice President)
------158150 James A Brock - (Vice President)
------246813 James C Grech - (Vice President)
------247139 Charles T Shaynak - (Vice President)
------248725 Stephen W Johnson - (Vice President)
------248744 William D Gillenwater - (Vice President)
------248777 David W Kelly - (Vice President)
------252912 David M Khani - (Vice President)
------253193 Michael J Baker - (Secretary)
------253845 Steve T Aspinall - (Treasurer)
------254801 CONSOL Mining Company LLC - (Subsidiary Company)
---------118427 J Brett Harvey - (Chairman of the Board)
---------118427 J Brett Harvey - (Chief Executive Officer)
---------135906 Bart J Hyita - (Chief Operations Officer)
---------135906 Bart J Hyita - (President)
---------154545 Jack K Richardson - (Vice President)
---------156239 Lorraine L Ritter - (Assistant Secretary)
---------156239 Lorraine L Ritter - (Controller)
---------158087 Lu Ann Datesh - (Vice President)
---------158150 James A Brock - (Vice President)
---------246813 James C Grech - (Vice President)
---------247139 Charles T Shaynak - (Vice President)
---------248725 Stephen W Johnson - (Vice President)
---------248744 William D Gillenwater - (Vice President)
---------248777 David W Kelly - (Vice President)
---------252912 David M Khani - (Vice President)
---------253193 Michael J Baker - (Secretary)
---------253845 Steve T Aspinall - (Treasurer)
---254856 John A Owsiany - (Vice President)
---254860 Samuel H Johnson - (Vice President)

Narrative 02/19/2014 Violations 1-18 are under Island Creek settlement. Linking entities are Consol Energy Inc, etc. lk