

## **APPENDIX C**

Legal, Financial, Compliance and Related Information

Annual Report of Officers  
as submitted to the Utah Department of Commerce  
and other changes in ownership and control information  
as required under R645-301-110.

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CHAPTER 1 LEGAL INFORMATION (CULVERT PERMIT AMENDMENT)  
ANNUAL REPORT OF OFFICERS

## CHAPTER 1

### LEGAL, FINANCIAL, COMPLIANCE, AND RELATED INFORMATION

#### 1.12 Identification of Interest

##### 1.12.1 Business entity

Applicant is a corporation, incorporated under the laws of the state of Utah, and registered to do business in Utah.

##### 1.12.2 Applicant

###### Applicant:

Genwal Resources, Inc.  
P.O. Box 1420  
Huntington, Utah 84528  
Telephone (801) 687-9813  
Employer I.D. #87-0533099

###### Operator:

Genwal Resources, Inc.  
P.O. Box 1420  
Huntington, Utah 84528  
Telephone (801) 687-9813

###### Resident Agent:

Gary E. Gray  
Genwal Resources, Inc.  
P.O. Box 1420  
Huntington, Utah 84528  
Telephone (801) 687-9813

As operator of the mine, Genwal Resources, Inc. will continue as Permittee under Permit No. ACT/015/032.

##### 1.12.23 Abandoned Mine Land Reclamation Fee

The abandoned mine land reclamation fee will be paid jointly by Intermountain Power Agency and Andalex Resources, Inc. being joint owners of the applicant.

##### 1.12.3 Ownership and Control

Joint owners of applicant:

**1. Intermountain Power Agency, Joint owner:**

c/o Los Angeles Department of Water and Power  
P.O. Box 111, Suite 1164  
Los Angeles, California 90051  
Telephone (213)481-4104  
Employee I.D. #87-0366288

**Name and address of IPA's general manager:**

Reed T. Searle  
Intermountain Power Agency  
480 East 6400 South, Suite 200  
Murray, Utah 84107  
Telephone (801)262-8807  
Assumed position September, 1989

**Resident Agent for IPA:**

Mark Buchi  
Holme, Roberts, and Owen  
111 East Broadway, Suite 1100  
Salt Lake City, Utah 84111  
Assumed position January, 1988

**2. Andalex Resources, Inc., Joint Owner:**

Andalex Resources, Inc.  
1201 Hurstbourne Place  
9300 Shelbyville Road  
Louisville, Kentucky 40222-5156  
Employer ID No. 61-0931325

**Name and address of Andalex's President is:**

Douglas H. Smith  
Andalex Resources, Inc.  
1201 Hurstbourne Place  
9300 Shelbyville Road  
Louisville, Kentucky 40222-5156  
Assumed position 07/01/94

**Resident Agent of Andalex:**

Michael W. Glasson  
Andalex Resources, Inc.  
P.O. Box 902  
Price, Utah 84501  
(801) 637 - 5385

Names and addresses of officers of Genwal Resources, Inc.:

OFFICERS	TITLE	DATE POSITION WAS ASSUMED
Peter B. Green	Chairman	12/14/94
Douglas H. Smith	President	12/14/94
John Bradshaw	Vice President Finance	12/14/94
G. Christopher Van Bever	Secretary/Treasurer	4/24/95
Samuel C. Quigley	Vice President	12/14/94

DIRECTORS	TITLE	DATE POSITION WAS ASSUMED
Peter B. Green	Chairman	12/14/94
Ronald C. Beedie	Director	12/14/94
Gordon Ulrich	Director	12/14/94
Douglas H. Smith	Director	12/14/94

The address for the above officers and directors is:

Genwal Resources, Inc.  
1201 Hurstbourne Place  
9300 Shelbyville Road  
Louisville, Kentucky 40222-5156

Names and addresses of officers, directors and principal shareholders of IPA: (R645-301-112-310)

**Officers of IPA:**

Reece D. Nielsen, Chairman  
105 South 600 East  
Hyrum, Utah 84319  
Assumed position January, 1983

R. Leon Bowler, Vice-Chairman  
Dixie-Escalante Rural Electric Assoc., Inc.  
Beryl, Utah 84714  
Assumed position January, 1983

Ray Farrell, Secretary  
Heber Light & Power Co.  
31 South 200 West  
Bountiful, Utah 84010  
Assumed position November, 1984

## Directors of IPA:

Reece D. Nielsen, Chairman  
105 South 600 East  
Hyrum, Utah 84319  
Assumed position January, 1983

R. Leon Bowler, Vice-Chairman  
Dixie-Escalante Rural Electric Assoc., Inc.  
Beryl, Utah 84714  
Assumed position January, 1983

Ray Farrell, Secretary  
Heber Light & Power Co.  
31 South 200 West  
Bountiful, Utah 84010  
Assumed position November, 1984

Clifford C. Michaelis, Treasurer  
Bountiful Light & Power  
198 South 200 West  
Bountiful, Utah 84010  
Assumed position January, 1990

Robert O. Christiansen, Director  
480 East 6400 Suite 200  
Murray, Utah 84107  
Assumed position January, 1989

Russell F. Fieldsted, Director  
480 East 6400 South Suite 200  
Murray, Utah 84107  
Assumed position January, 1989

Ted L. Olson, Director  
480 East 6400 South Suite 200  
Murray, Utah 84107  
Assumed position January, 1989

## Principle Shareholders of IPA:

IPA has no shareholders. IPA is a political subdivision of the State of Utah, and as such, has not issued stock.

Names and addresses of officers, directors, and principal shareholders of Andalex Resources, Inc:

OFFICERS	TITLE	DATE POSITION WAS ASSUMED
Peter B. Green	Chairman	05/11/90
Douglas H. Smith	President	07/01/94
Robert Anderson, Jr.	Vice Chairman	02/05/90
John Bradshaw	Vice President	12/14/94

DIRECTORS	TITLE	DATE POSITION WAS ASSUMED
Peter B. Green	Chairman	01/05/88
Robert Anderson, Jr.	Director	11/11/76
Ronald C. Beedie	Director	01/05/88
Gordon Ulrich	Director	07/01/94
John Bradshaw	Vice President	12/14/94

The principal shareholder of Andalex Resources, Inc. is as follows:

The capital stock of Andalex Resources, Inc. is one hundred percent (100%) owned and controlled by Andalex Resources B.V., a Netherlands company. Andalex Resources B.V., does not have an employer identification number. (Andalex Resources, S.A. and Andalex Holdings, LTD, owners and controllers of the parent entity do not have employer identification numbers) Appendix 1-9 Section A.

Andalex Resources, Inc.  
 1201 Hurstbourne Place  
 9300 Shelbyville Road  
 Louisville, Kentucky 40222-5156

Other names under which Andalex Resources, Inc. and Andalex Resources B.V. is or has operated in the United States within the last five years preceding the date of this application are listed below:

Andalex Resources, Inc., Tower Division  
 Andalex Resources, Inc., Cimarron Division  
 AMCA Coal Leasing, Inc.  
 West Ken Coal Corporation  
 Andalex Resources, Inc., Little Creek Division

Legal owners of area to be affected by surface operations and facilities:

United States Government  
 Administered by the United States  
 Department of Agriculture, Forest Service,

Intermountain Region  
Manti-La Sal National Forest  
599 West River Drive  
Price, Utah 84501

Genwal Resources, Inc.  
P.O. Box 1420  
Huntington, Utah 84528  
Telephone (801) 687-9813  
Employer I.D. #87-0533099

**1.12.4 List of current or previous coal mining permits and pending permit applications:**

Genwal Resources, Inc. has not previously held a mining permit.

IPA is currently engaged in the reclamation of the Horse Canyon Mine, under permit ACT/007/013, located in Emery County, Utah.

A list of current and previous mining permits held by Andalex and its affiliates is included in Appendix 1-9.

**Corporate Status of Joint Owners**

IPA is a political subdivision of the State of Utah created under the Interlocal Cooperation Act, Title II, Chapter 13, Utah code Ann. 1953, as amended.

Andalex is a Delaware Corporation (The parent companies of Andalex Resources, Inc. do not have employer identification numbers).

**1.12.5 Leasehold Interest**

Holders of record of any leasehold interest in areas to be affected by surface operations or facilities:

U.S. Forest Service and Genwal Resources, Inc are the surface owners in within the disturbed portions of the mine permit.

Holders of record of any leasehold interest in the coal to be mined:

The U.S. Forest Service, the State of Utah, and Genwal Resources, Inc are surface owners within the permit area. The State of Utah, the BLM, and Genwal Resources, Inc are the coal owners within the permit area (see Appendix 1-1).



**PROFIT CORPORATION ANNUAL REPORT**

The following information is on file in this office. All profit corporations must file their annual reports and corrections within the month of their anniversary date. Failure to do so will result in Delinquency, Suspension, then Revocation or Voluntary Dissolution of the corporate charter.

**THIS BOX MUST BE COMPLETED**

CORPORATE NAME, REGISTERED AGENT, REGISTERED OFFICE, CITY, STATE & ZIP  
 CORPORATION # 168671  
 D 12/12/94  
 1. GENERAL RESOURCES, INC.  
 2. JOHN S KIRKHAM  
 3. 201 S MAIN STE 1100  
 4. SALT LAKE CITY UT 84111-4904

MAKE ALL CORRECTIONS IN THIS COLUMN

UTAH

**WHEN CHANGING THE REGISTERED AGENT, THE NEW AGENT MUST SIGN**

5. INCORPORATED IN THE STATE AND UNDER THE LAWS OF: UTAH

6. ADDRESS OF THE REGISTERED OFFICE OF THE HOME STATE  
 201 S MAIN STE 1100  
 SALT LAKE CITY UT 84111

7. BUSINESS PURPOSE NONCLASSIFIABLE ESTABLISHMENTS  
**DOMESTIC PROFIT CORPORATIONS ARE REQUIRED TO LIST A MAJOR BUSINESS PURPOSE**

**OFFICERS**

2. PRESIDENT DOUGLAS H SMITH  
 ADDRESS 9300 SHELBYVILLE RD 1200  
 CITY, STATE & ZIP LOUISVILLE KY 40222

9. VICE PRESIDENT SAMUEL C QUITLEY  
 ADDRESS 9300 SHELBYVILLE RD 1200  
 CITY, STATE & ZIP LOUISVILLE KY 40222

10. SECRETARY JOHN BRADSHAW  
 ADDRESS 9300 SHELBYVILLE RD 1200  
 CITY, STATE & ZIP LOUISVILLE KY 40222

11. TREASURER JOHN BRADSHAW  
 ADDRESS 9300 SHELBYVILLE RD 1200  
 CITY, STATE & ZIP LOUISVILLE KY 40222

8. \_\_\_\_\_

9. \_\_\_\_\_

10. G. Christopher Van Bever  
 9300 Shelbyville Road, Suite 1200  
 Louisville, KY 40222

11. \_\_\_\_\_

**DIRECTORS**

12. DIRECTOR PETER B GREEN  
 ADDRESS 9300 SHELBYVILLE RD 1200  
 CITY, STATE & ZIP LOUISVILLE KY 40222

13. DIRECTOR DOUGLAS H SMITH  
 ADDRESS 9300 SHELBYVILLE RD 1200  
 CITY, STATE & ZIP LOUISVILLE KY 40222

14. DIRECTOR ROBERT ANDERSON JR  
 ADDRESS 9300 SHELBYVILLE RD 1200  
 CITY, STATE & ZIP LOUISVILLE KY 40222

12. \_\_\_\_\_

13. \_\_\_\_\_

14. Ronald C. Beedle  
 9300 Shelbyville Road, Suite 1200  
 Louisville, KY 40222

Under penalties of perjury and as an authorized officer, I declare that this annual report and, if applicable, the statement change of registered office and/or agent, has been examined by me and is, to the best of my knowledge and belief, true, correct, and complete.

15. BY G. Christopher Van Bever

16. General Counsel and Secretary **CDD**

17. January 2, 1996

IF THERE ARE NO CHANGES FROM THE PREVIOUS YEAR, AND YOU HAVE ALL CORPORATE REQUIREMENTS FILLED PERTAINING TO OFFICER AND DIRECTOR INFORMATION YOU MAY DETACH THE COUPON BELOW, AND RETURN IT IN THE ENCLOSED ENVELOPE WITH YOUR PAYMENT. YOU MAY KEEP THE ABOVE REPORT FOR YOUR RECORDS.

MAKE ALL CORRECTIONS ON THE FORM ABOVE